BIS Licensing of 600-Series, 9x515, and Firearms Items

Since October 15, 2013, certain items that were determined not to warrant control on the United States Munitions List (USML) have moved to the Commerce Control List (CCL), creating 600-series ECCNs, 9x515 ECCNs for Spacecrafts and Satellites, and ECCNs for firearms items.

From October 15, 2013 to June 30, 2023, BIS has processed a total of 157,489\(^1\) applications for 600-series, 9x515 and Firearms items. Of these, 122,892 applications were for 600-series items, 8,175 were for 9x515 items and 26,422 were for Firearms items.

Of 122,892 applications for 600-series items:
➢ Approved applications were 111,017, 90.3% of the total;
➢ Returned without action (RWA) applications were 11,367, 9.3% of the total; and
➢ Denied applications were 372, 0.3% of the total.
➢ Revoked applications were 136, 0.1% of the total.

Of 8,175 applications for 9x515 items:
➢ Approved applications were 7,019, 85.9% of the total;
➢ RWA applications were 1,020, 12.5% of the total; and
➢ Denied applications were 97, 1.2% of the total.
➢ Revoked applications were 39, 0.5% of the total.

Of 26,422 applications for Firearms items:
➢ Approved applications were 24,741, 93.6% of the total;
➢ RWA were 1,426, 5.4% of the total; and
➢ Denied applications were 177, 0.7% of the total.
➢ Revoked applications were 78, 0.3% of the total.

\(^1\) Note: This number for the total applications is slightly smaller than the sum of all applications by each rule because license applications containing multiple ECCNs may be associated with more than one regulatory change. For instance, a single application containing 9A610 and 0A606 items would be counted in both the 1\(^{st}\) rule column and the 2\(^{nd}\) rule column.
BIS License Applications for 600 Series, 9x515 and Firearms Items by Number of License Applications
October 15, 2013 to June 30, 2023

Source: Commerce U.S. Exports Exporter Support System, retrieved on September 13, 2023

BIS Average Monthly Applications for USML to CCL Regulatory Changes
October 15, 2013 to June 30, 2023

Source: Commerce U.S. Exports Exporter Support System, retrieved on September 13, 2023
BIS License Applications for 600-Series, 9x515 and Firearms Items by Year
Effective Date to June 30, 2023

Source: Commerce U.S. Exports Exporter Support System, September 13, 2023

BIS License Applications for 600-Series, 9x515 and Firearm Items by Decisions
Effective Date to June 30, 2023

Source: Commerce U.S. Exports Exporter Support System, September 13, 2023
From October 15, 2013 to June 30, 2023, BIS processed applications for 600-series, 9x515 and Firearms items for a total value of $369.6 billion. Of these, $291.8 billion were for 600-series items, $40.3 billion for Firearms items, and $37.5 billion for 9x515 items.

Of $291.8 billion for 600-series items:

➢ Approved applications valued at $269.8 billion, 92.4% of the total application value;
➢ Returned without action (RWA) valued at $20.9 billion, 7.2% of the total; and
➢ Denied applications valued at $979.5 million, 0.3% of the total.
➢ Revoked applications valued at $116.2 million, 0.04% of the total.

Of $40.3 billion for Firearms items:

➢ Approved applications valued at $34.7 billion, 86.3% of the total application value;
➢ Returned without action (RWA) valued at $5.1 billion, 12.7% of the total; and
➢ Denied applications valued at $245.1 million, 0.6% of the total.
➢ Revoked applications valued at $189.4 million, 0.5% of the total.

Of $37.5 billion for 9x515 items:

➢ Approved applications valued at $35.5 billion, 94.7% of the total application value;
➢ Returned without action (RWA) valued at $1.7 billion, 4.6% of the total; and
➢ Denied applications valued at $142.7 million, 0.4% of the total.
➢ Revoked applications valued at $118.3 million, 0.3% of the total.

This report accounts for the application value of the ECCN, not the value of the entire license application. For example, if a license application contained one ECCN associated with 2nd Rule Vehicles and Vessels and three unrelated ECCNs, then only the value associated with the 2nd Rule ECCN was counted.
In the last 13 months from June 1, 2022 to June 31, 2023, the average monthly BIS license approvals for all ECCNs are 2,768 indicating an increase of 66.0% from the average monthly approvals of 1,667 during the 13 months prior to the first regulatory change from the USML to the CCL that became effective on October 15, 2013:

➢ For 600-Series items, the average monthly license approvals are 944;
➢ For 9x515 items, the average monthly license approvals are 52;
➢ For Firearms items, the average monthly license approvals are 537; and
➢ For legacy ECCNs, the average monthly license approvals are 1,294.

Source: Commerce U.S. Exports Exporter Support System, retrieved on September 13, 2023
I. Top Destinations and Top ECCNs of BIS Approved Licenses for 600-Series Items

Of the total 111,017 BIS approved licenses for 600-series items from October 15, 2013 to June 30, 2023, 73,273 (66.0% of the total approved licenses) were issued for exports to the top 15 destinations by license count. The top destinations were Japan with 12,065 approved licenses (10.9% of the total); the United Kingdom with 11,053 (10.0%), and South Korea with 6,026 (5.4%).

**Top Fifteen Destinations of BIS Approved Licenses for 600-Series Items by License Count**

*October 15, 2013 to June 30, 2023*

- Japan: 12,065 licenses (10.9%)
- United Kingdom: 11,053 licenses (10.0%)
- South Korea: 6,026 licenses (5.4%)
- Germany: 6,001 licenses (5.3%)
- Italy: 4,663 licenses (4.2%)
- Taiwan: 4,073 licenses (3.7%)
- Australia: 4,042 licenses (3.6%)
- Israel: 3,837 licenses (3.4%)
- France: 3,678 licenses (3.3%)
- Turkey: 3,380 licenses (3.0%)
- United Arab Emirates: 3,243 licenses (2.9%)
- India: 2,984 licenses (2.7%)
- Brazil: 2,818 licenses (2.5%)
- Spain: 2,756 licenses (2.5%)
- Singapore: 2,654 licenses (2.4%)

Source: Commerce U.S. Exports Exporter Support System, September 13, 2023
### Top Ten Approved ECCNs for 600-Series Items by License Count

**October 15, 2013 - June 30, 2023**

<table>
<thead>
<tr>
<th>ECCN</th>
<th>Description</th>
<th>Total Approved</th>
<th>Value $million</th>
</tr>
</thead>
<tbody>
<tr>
<td>9A610</td>
<td>Military aircraft and related commodities</td>
<td>48,952</td>
<td>114,634.7</td>
</tr>
<tr>
<td>3A611</td>
<td>Military electronics</td>
<td>19,333</td>
<td>27,540.3</td>
</tr>
<tr>
<td>0A606</td>
<td>Ground vehicles and related commodities</td>
<td>8,066</td>
<td>22,063.4</td>
</tr>
<tr>
<td>9A619</td>
<td>Military gas turbine engines and related commodities</td>
<td>7,067</td>
<td>60,742.2</td>
</tr>
<tr>
<td>1A613</td>
<td>Armored and protective &quot;equipment&quot; and related commodities</td>
<td>3,410</td>
<td>7,068.9</td>
</tr>
<tr>
<td>9A604</td>
<td>Commodities related to launch vehicles, missiles, and rockets</td>
<td>3,092</td>
<td>5,316.2</td>
</tr>
<tr>
<td>7A611</td>
<td>Military fire control, laser, imaging, and guidance equipment, as follows (see List of Items Controlled).</td>
<td>2,787</td>
<td>1,704.8</td>
</tr>
<tr>
<td>9E619</td>
<td>Technology &quot;required&quot; for the &quot;development,&quot; &quot;production,&quot; operation, installation, maintenance, repair, overhaul, or refurbishment of military gas turbine engines and related commodities controlled by 9A619, equipment controlled by 0B619, materials controlled by 9C619, or software controlled by 9D619.</td>
<td>2,212</td>
<td>732.5</td>
</tr>
<tr>
<td>3E611</td>
<td>Technology &quot;required&quot; for military electronics</td>
<td>1,923</td>
<td>421.0</td>
</tr>
<tr>
<td>9B610</td>
<td>Test, inspection, and production &quot;equipment&quot; and related commodities &quot;specially designed&quot; for the &quot;development&quot; or &quot;production&quot; of commodities enumerated in ECCN 9A610 or USML Category VIII</td>
<td>1,840</td>
<td>14,100.4</td>
</tr>
</tbody>
</table>

Source: Commerce U.S. Exports Exporter Support System, retrieved on September 13, 2023
II. Top Destinations of Approved Licenses for 9x515 Items

Of the total of 7,019 BIS approved licenses for 9x515 items from June 27, 2014 to June 30, 2023, 5,540 (78.9% of the total approved licenses) were issued for exports to the top 15 destinations by license count. The top destinations were India with 1,182 approved licenses (16.8% of the total); Japan with 1,032 approvals (14.7%), and France with 597 approvals (8.5%).

![Chart showing top fifteen destinations of BIS approved licenses for 9x515 items by license count from June 27, 2014 to June 30, 2023.]

Source: Commerce U.S. Exports Exporter Support System, September 13, 2023

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3 34 licenses for 9x515 items have been revoked for Russia.