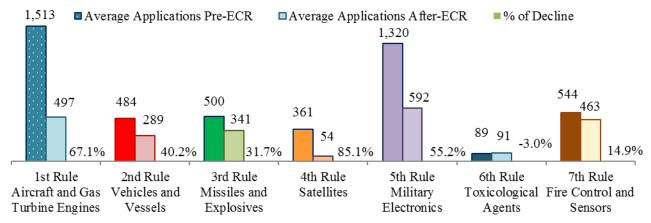
#### License Statistics of 600-Series and 9x515 Items

October 15, 2013 to March 31, 2018

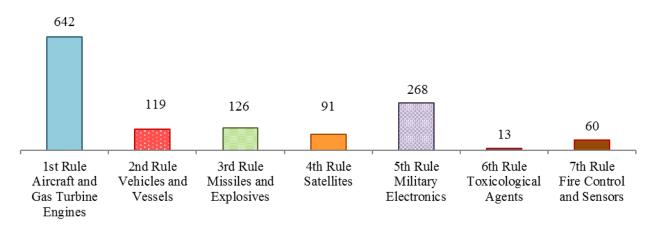
The license applications for items in the 18 categories of the United States Munitions List (USML) showed an overall average decline of 51.6 % from 4,811 to 2,327 per month through March 31, 2018. The average monthly drop of 2,484 in license applications is the result of certain items that do not warrant control on the USML transitioning to the Commerce Control List (CCL). The biggest drop in license applications was those impacted by the fourth regulatory change involving the transition of satellites with a 85.1% decline. The Directorate of Defense Trade Controls (DDTC) of the U.S. Department of State estimates a 45% decline in license applications will be shown when all USML categories have been reviewed.

DDTC Average Monthly Applications by USML to CCL Regulatory Change October 15, 2013 to March 31, 2018



Source: Directorate of Defense Trade Controls of the U.S. Department of State, June 5, 2018

#### BIS Average Monthly Applications by USML to CCL Regulatory Change October 15, 2013 to March 31, 2018



Source: Commerce U.S. Exports Exporter Support System, June 5, 2018

From October 15, 2013 to March 31, 2018, BIS has processed a total of 60,014<sup>1</sup> applications for 600-series and 9x515 items. Of these, 55,929 applications were for 600-series items and 4,085 were for 9x515 items.

#### Of 55,929 applications for 600-series items:

- Approved applications were 50,045, 89.5% of the total applications by count;
- Returned without action (RWA) were 5,739, 10.3% of the total; and
- Denied applications were 145, 0.3% of the total.

#### Of 4,085 applications for 9x515 items:

Turbine Engines

Vessels

- Approved applications were 3,328, 81.5% of the total applications;
- Returned without action (RWA) were 670, 16.4% of the total; and
- ▶ Denied applications were 87, 2.1% of the total.

# BIS License Applications for 600-Series and 9x515 Items by Total Count Effective Date to March 31, 2018

40,000 34,648 30,000 20,000 10,437 5,672 6,049 10,000 4,087 902 199 0 1st Rule 2nd Rule 3rd Rule 4th Rule 5th Rule 6th Rule 7th Rule Aircraft and Gas Vehicles and Missiles and Satellites Military Toxicological Fire Control and

Electronics

Agents

Sensors

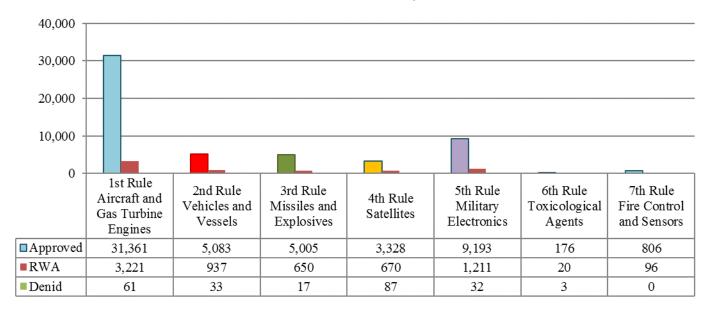
Source: Commerce U.S. Exports Exporter Support System, June 5, 2018

Explosives

<sup>&</sup>lt;sup>1</sup> Note: This number for the total applications is slightly smaller than the sum of all applications by each rule because license applications containing multiple ECCNs may be associated with more than one regulatory change. For instance, a single application containing 9A610 and 0A606 items would be counted in both the 1<sup>st</sup> rule column and the 2<sup>nd</sup> rule column.

## BIS License Applications for 600-Series and 9x515 Items by Decisions

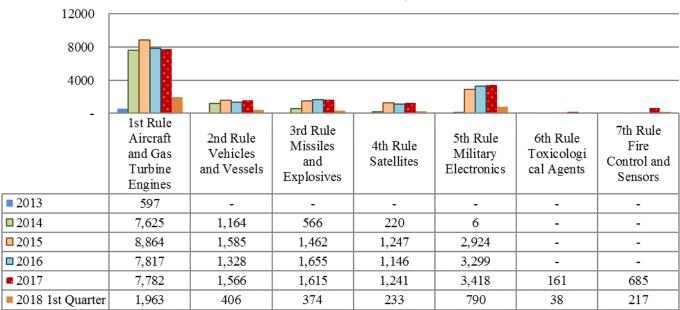
Effective Date to March 31, 2018



Source: Commerce U.S. Exports Exporter Support System, June 6, 2018

#### BIS Yearly License Applications for 600-Series and 9x515 Items by Transition Rule

Effective Date to March 31, 2018



Source: Commerce U.S. Exports Exporter Support System, June 6, 2018

From October 15, 2013 to March 31, 2018, BIS has processed applications for 600-series and 9x515 items for a value of \$255.8 billion. Of these, \$133.1 billion for 600-series items and \$122.7 billion for 9x515 items.

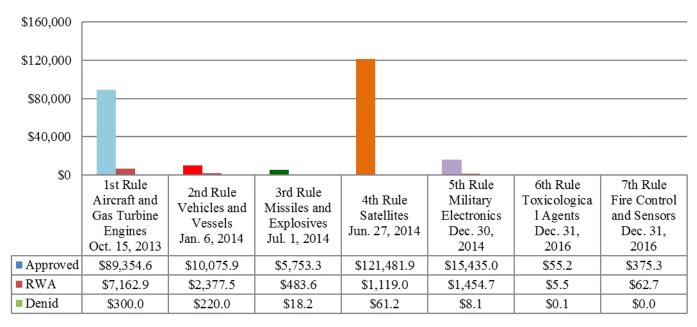
#### Of \$133.1 billion for 600-series items:

- Approved applications valued at \$121.0 billion, 90.9% of the total application value;
- Returned without action (RWA) valued at \$11.5 billion, 8.7% of the total; and
- Denied applications valued at \$546.3 million, 0.4% of the total.

#### Of \$122.7 billion for 9x515 items:

- Approved applications valued at \$121.5 billion, 99.0% of the total application value;
- Returned without action (RWA) valued at \$1.1 billion, 0.9% of the total; and
- Denied applications valued at \$61.2 million, 0.05% of the total.

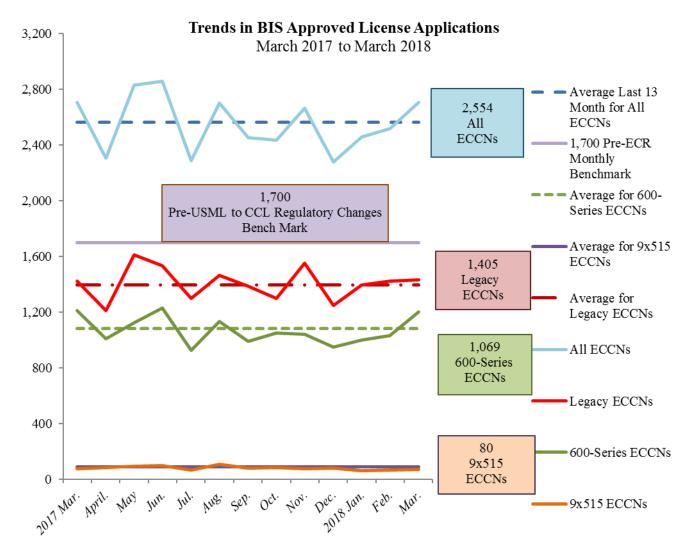
# BIS License Applications for 600-Series and 9x515 Items by Value Effective Date to March 31, 2018 \$million



Source: Commerce U.S. Exports Exporter Support System, June 6, 2018

In the last 13 months from March 2017 to March 2018, the average monthly BIS license approvals for all ECCNs are 2,554, indicating an increase of 50.2% from the average monthly approvals of 1,700 during the fiscal year 2012 to 2013, prior to the first regulatory change from the USML to the CCL becoming effective:

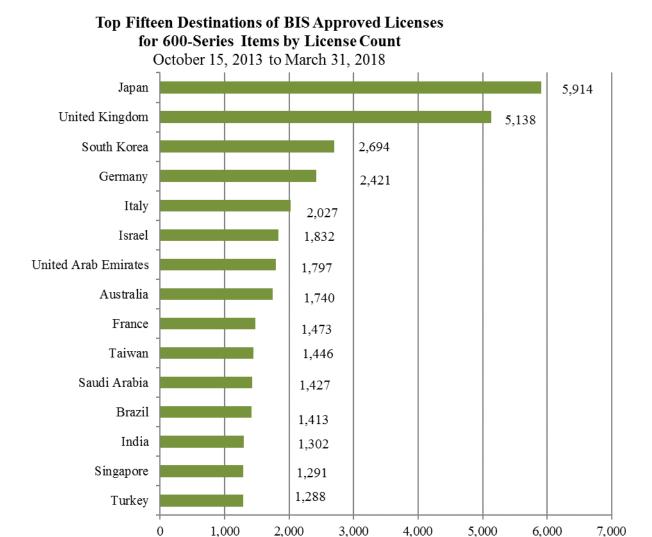
- For 600-Series items, the average monthly license approvals are 1,069;
- $\triangleright$  For 9x515 items are 80; and
- For legacy ECCNs are 1,405.



Source: Commerce U.S. Exports Exporter Support System, June 8, 2018

### Top Destinations and Top ECCNs of BIS Approved Licenses for 600-Series Items

Of the total 50,045 BIS approved licenses for 600-series items from October 15, 2013 to March 31, 2018, 33,203 (66.3% of the total approved licenses) were issued for exports to the top 15 destinations by license count. The top destinations were Japan with 5,914 approved licenses (11.8% of the total); the United Kingdom with 5,138 (10.3%), and South Korea with 2,694 (5.4%).



Source: Commerce U.S. Exports Exporter Support System, June 8, 2018

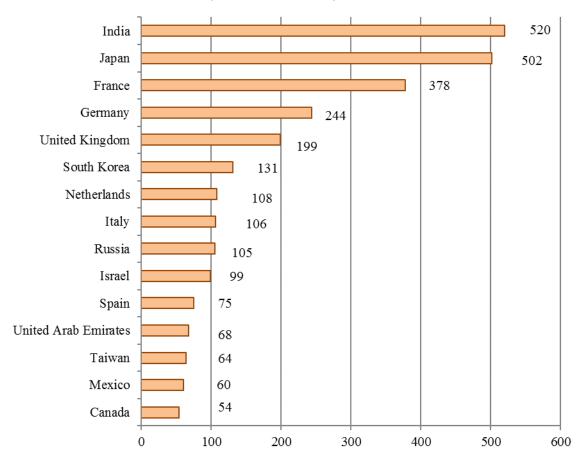
## Top Ten Approved ECCNs for 600-Series Items by Count

October 15, 2013 - March 31, 2018

ECCN	Description	Total Approved	Value \$million
	•		·
9A610	Military aircraft and related commodities	23,805	\$51,801.9
3A611	Military electronics	8,363	\$15,209.6
9A619	Military gas turbine engines and related commodities	5,176	\$29,446.8
	Technology "required" for the "development," "production,"		
	operation, installation, maintenance, repair, overhaul, or refurbishing		
	of military aircraft and related commodities controlled by 9A610,		
	equipment controlled by 9B610, materials controlled by 9C610 or		
9E610	software controlled by 9D610.	4,796	\$2,467.5
0A606	Ground vehicles and related commodities	3,396	\$9,133.3
1A613	Armored and protective "equipment" and related commodities	1,814	\$3,532.8
3E611	Technology "required" for military electronics	1,775	\$133.4
	Technology "required" for the "development," "production,"		
	operation, installation, maintenance, repair, overhaul, or		
	refurbishment of military gas turbine engines and related		
	commodities controlled by 9A619, equipment controlled by		
	0B619, materials controlled by 9C619, or software controlled by		
9E619	9D619.	1,744	\$1,264.0
9A604	Commodities related to launch vehicles, missiles, and rockets	1,449	\$1,202.4
	Test, inspection, and production "equipment" and related	, -	• / •
	commodities "specially designed" for the "development" or		
	"production" of commodities enumerated in ECCN 9A610 or		
9B610	USML Category VIII	1,358	\$5,285.2
Source: Con	nmerce U.S. Exports Exporter Support System, June 8, 2018	,	· 

# Top Fifteen Destinations of BIS Approved Licenses for 9x515 Items by License Count

June 27, 2014 to March 31, 2018



Source: Commerce U.S. Exports Exporter Support System, June 8, 2018