BIS Licensing of 600-series and 9x515 Items

October 15, 2013 to December 31, 2020

Since October 15, 2013, certain items that were determined not to warrant control on the United States Munitions List (USML) have moved to the Commerce Control List (CCL), creating 600-series ECCNs and 9x515 ECCNs.

From October 15, 2013 to December 31, 2020, BIS has processed a total of 97,455¹ applications for 600-series and 9x515 items. Of these, 91,105 applications were for 600-series items and 6,350 were for 9x515 items.

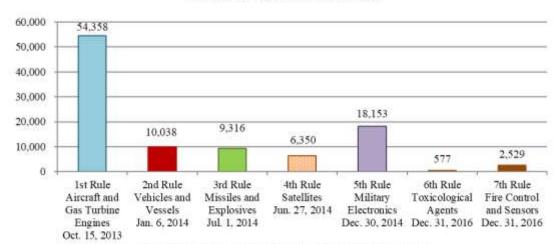
Of 91,105 applications for 600-series items:

- Approved applications were 81,951, 90.0% of the total;
- Returned without action (RWA) were 8,896, 9.8% of the total; and
- Denied applications were 258, 0.3% of the total.

Of 6,350 applications for 9x515 items:

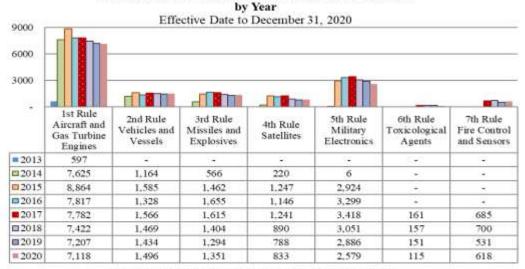
- Approved applications were 5,369, 84.6% of the total;
- Returned without action (RWA) were 876, 13.8% of the total; and
- ➤ Denied applications were 105, 1.7% of the total.

BIS License Applications for 600-Series and 9x515 Items by Total Count Effective Date to December 31, 2020



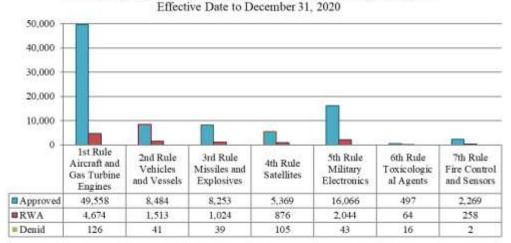
¹ Note: This number for the total applications is slightly smaller than the sum of all applications by each rule because license applications containing multiple ECCNs may be associated with more than one regulatory change. For instance, a single application containing 9A610 and 0A606 items would be counted in both the 1st rule column and the 2nd rule column.

BIS License Applications for 600-Series and 9x515 Items



Source: Commerce U.S. Exports Exporter Support System, May 28, 2021

BIS License Applications for 600-Series and 9x515 Items by Decisions



Source: Commerce U.S. Exports Exporter Support System, May 28, 2021

BIS Average Monthly Applications by USML to CCL Regulatory Changes



From October 15, 2013 to December 31, 2020, BIS has processed applications for 600-series and 9x515 items for a total value of \$344.6 billion. Of these, \$215.6 billion for 600-series items and \$129.0 billion for 9x515 items.

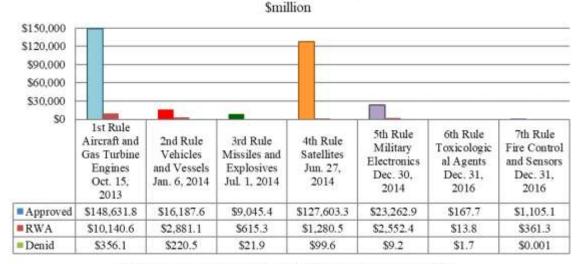
Of \$215.6 billion for 600-sereis items:

- Approved applications valued at \$198.4 billion, 92.0% of the total application value;
- Returned without action (RWA) valued at \$16.6 billion, 7.7% of the total; and
- Denied applications valued at \$609.4 million, 0.3% of the total.

Of \$129.0 billion for 9x515 items:

- Approved applications valued at \$127.6 billion, 98.9% of the total application value;
- Returned without action (RWA) valued at \$1.3 billion, 1.0% of the total; and
- Denied applications valued at \$99.6 million, 0.08% of the total.

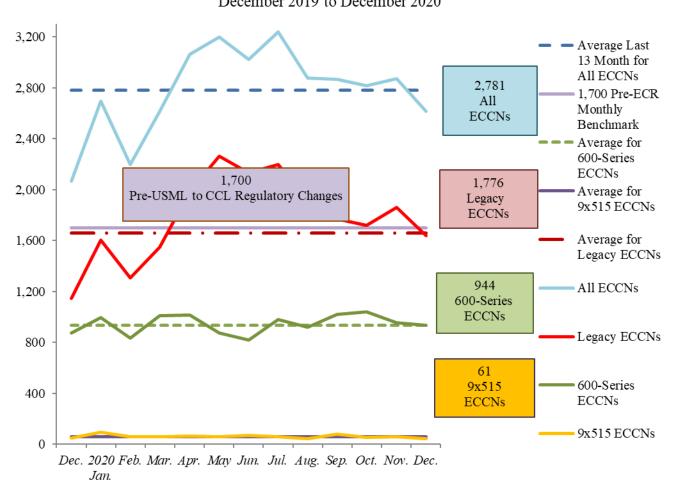
BIS License Applications for 600-Series and 9x515 Items by Value Effective Date to December 31, 2020



In the last 13 months from December 2019 to December 2020, the average monthly BIS license approvals for all ECCNs are 2,781, indicating an increase of 63.6% from the average monthly approvals of 1,700 during the fiscal year 2012 to 2013, prior to the first regulatory change from the USML to the CCL became effective on October 15, 2013:

- For 600-Series items, the average monthly license approvals are 944;
- For 9x515 items, the average monthly license approvals are 61; and
- For legacy ECCNs, the average monthly license approvals are 1,776.

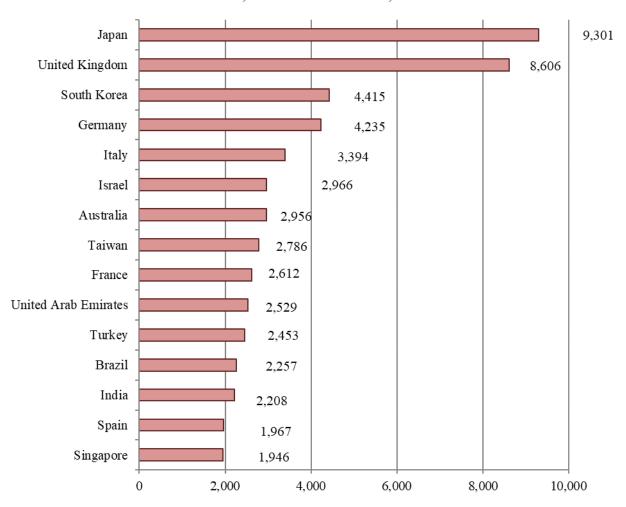
Trends in BIS Approved License Applications December 2019 to December 2020



Of the total 81,951 BIS approved licenses for 600-series items from October 15, 2013 to December 31, 2020, 54,631 (66.7% of the total approved licenses) were issued for exports to the top 15 destinations by license count. The top destinations were Japan with 9,301 approved licenses (11.3% of the total); the United Kingdom with 8,606 (10.5%), and South Korea with 4,415 (5.4%).

Top Fifteen Destinations of BIS Approved Licenses for 600-Series Items by License Count

October 15, 2013 to December 31, 2020



Top Ten Approved ECCNs for 600-Series Items by License Count October 15, 2013 - December 31, 2020 Value **ECCN Total Approved** \$million **Description** 9A610 Military aircraft and related commodities 37,765 \$85,306.3 3A611 Military electronics 14,501 \$22,676.7 Technology "required" for the "development," "production," operation, installation, maintenance, repair, overhaul, or refurbishing of military aircraft and related commodities controlled by 9A610, equipment controlled by 9B610, materials controlled by 9C610 or software controlled by 9E610 9D610. 8,292 \$3,978.7 9A619 Military gas turbine engines and related commodities 8,201 \$49,641.5 0A606 Ground vehicles and related commodities 5,722 \$14,199.2 Technology "required" for military electronics 3E611 3,166 \$302.4 Technology "required" for the "development," "production," operation, installation, maintenance, repair, overhaul, or refurbishment of military gas turbine engines and related commodities controlled by 9A619, equipment controlled by 0B619, materials controlled by 9C619, or software controlled 9E619 by 9D619. 2,908 \$306.2 1A613 Armored and protective "equipment" and related commodities 2,634 \$4,139.1 9A604 Commodities related to launch vehicles, missiles, and rockets 2,578 \$2,253.4 Test, inspection, and production "equipment" and related commodities "specially designed" for the "development" or "production" of commodities enumerated in ECCN 9A610 or 9B610 USML Category VIII \$9,079.5 2,433

Of the total of 5,369 BIS approved licenses for 9x515 items from June 27, 2014 to December 31, 2020, 4,297 (80.0% of the total approved licenses) were issued for exports to the top 15 destinations by license count. The top destinations were India with 970 approved licenses (18.1% of the total); Japan with 799 approvals (14.9%), and France with 504 approvals (9.9%).

Top Fifteen Destinations of BIS Approved Licenses for 9x515 Items by License Count

June 27, 2014 to December 31, 2020

