Table of Contents

Index to the Export Administration Regulations

Legal Authority for the Export Administration Regulations

Part 730 General Information
730.1 What these regulations cover
730.2 Statutory authority
730.3 Dual use and other types of items subject to the EAR
730.4 Other control agencies and departments
730.5 Coverage of more than exports
730.6 Control purposes
730.7 License requirements and exceptions
730.8 How to proceed and where to get help
730.9 Organization of the Bureau of Industry and Security
730.10 Advisory information
Supplement No. 1 to Part 730; Information Collection Requirements Under the Paperwork Reduction Act: OMB Control Numbers
Supplement No. 2 to Part 730; Technical Advisory Committees
Supplement No. 3 to Part 730; Other U.S. Government Departments and Agencies with Export Control Responsibilities

Part 732 Steps For Using the EAR
732.1 Steps overview
732.2 Steps regarding scope of the EAR
732.3 Steps regarding the ten general prohibitions
732.4 Steps regarding License Exceptions
732.5 Steps regarding Electronic Export information (EEI) requirements, Destination Control Statements, and Recordkeeping
732.6 Steps for other requirements
Supplement No. 1 to Part 732; Decision Tree
Supplement No. 2 to Part 732; Subject to the EAR?
Supplement No. 3 to Part 732; BIS's "Know Your Customer" Guidance and Red Flags

Part 734 Scope of the Export Administration Regulations
734.1 Introduction
734.2 Subject to the EAR
734.3 Items subject to the EAR
734.4 *De minimis* U.S. content
734.5 Activities of U.S. and foreign persons subject to the EAR
734.6 Assistance available from BIS for determining licensing and other requirements
734.7 Published
734.8 "Technology" or "software" that arises during, or results from, fundamental research
734.9 [RESERVED]
734.10 Patents
734.11 BIS activities conducted outside the United States
734.12 Effect on foreign laws and regulations
734.13 Export
734.14 Reexport
734.15 Release

734.16 Transfer (in-country)
734.17 Export of encryption source code and object code software
734.18 Activities that are not exports, reexports, or transfers
734.19 Transfer of access information
734.20 Activities that are not deemed reexports
Supplement No. 1 to Part 734; [Reserved]
Supplement No. 2 to Part 734; Guidelines for *De Minimis* Rules

Part 736 General Prohibitions
736.1 Introduction
736.2 General prohibitions and determination of applicability
Supplement No. 1 to Part 736; General Orders
Supplement No. 2 to Part 736; Administrative Orders

Part 738 Commerce Control List Overview and the Country Chart
738.1 Introduction
738.2 Commerce Control List (CCL) structure
738.3 Commerce Country Chart structure
738.4 Determining whether a license is required
Supplement No. 1 to Part 738; Commerce Country Chart

Part 740 License Exceptions
740.1 Introduction
740.2 Restrictions on all License Exceptions
740.3 Shipments of limited value (LVS)
740.4 Shipments to Country Group B countries (GBS)
740.5 [RESERVED]
740.6 Technology and software under restriction (TSR)
740.7 Computers (APP)
740.8 [RESERVED]
740.9 Temporary imports, exports, reexports, and transfers (in-country) (TMP)
740.10 Servicing and replacement of parts and equipment (RPL)
740.11 Governments, international organizations, international inspections under the Chemical Weapons Convention, and the international space station (GOV)
740.12 Gift parcels and humanitarian donations (GFT)
740.13 Technology and software - unrestricted (TSU)
740.14 Baggage (BAG)
740.15 Aircraft, vessels and spacecraft (AVS)
740.16 Additional permissive reexports (APR)
740.17 Encryption commodities, software, and technology (ENC)
740.18 Agricultural commodities (AGR)
740.19 Consumer Communications Devices (CCD)
740.20 License Exception Strategic Trade Authorization (STA).
740.21 Support for the Cuban People (SCP).
Supplement No. 1 to Part 740; Country Groups
Supplement No. 2 to Part 740; Items that may be Donated to Meet Basic Human Needs Under the Humanitarian License Exception
Supplement No. 3 to Part 740; License Exception ENC Favorable Treatment Countries
Supplement No. 4 to Part 740; Annex A Firearm Models

Part 742 Control Policy – CCL Based Controls
742.1 Introduction
742.2 Proliferation of chemical and biological weapons
742.3 Nuclear nonproliferation
742.4 National security
742.5 Missile technology
742.6 Regional stability
742.7 Crime control and detection
742.8 Anti-Terrorism: Iran
742.9 Anti-Terrorism: Syria
742.10 Anti-Terrorism: Sudan
742.11 Specially designed implements of torture, thumbscrews, and thumbcuffs; and parts and accessories, n.e.s.
742.12 [RESERVED]
742.13 Communications intercepting devices; software and technology for communication interception devices
742.14 Significant items: Hot section technology for the development, production or overhaul of commercial aircraft engines, components, and systems
742.15 Encryption items
742.16 [RESERVED]
742.17 Exports of firearms to OAS member countries
742.18 Chemical Weapons Convention (CWC or Convention)
742.19 Anti-terrorism: North Korea
Supplement No. 1 to Part 742; Nonproliferation of Chemical and Biological Weapons
Supplement No. 2 to Part 742; Anti-terrorism Controls: North Korea, Syria and Sudan Contract Sanctity Dates and Related Policies
Supplement No. 3 to Part 742; [RESERVED]
Supplement No. 4 to Part 742; [RESERVED]
Supplement No. 5 to Part 742; [RESERVED]
Supplement No. 6 to Part 742; Technical Questionnaire for Encryption and Other "Information Security" Items
Supplement No. 7 to Part 742; Description of Major Weapons Systems
Supplement No. 8 to Part 742; Self-classification Report for Encryption Items

Part 743 Special Reporting and Notification
743.1 Wassenaar Arrangement
743.2 High performance computers: post shipment verification reporting
743.3 Thermal imaging camera reporting
743.4 Conventional arms reporting
743.5 Prior notifications to Congress of Exports of "600 Series Major Defense Equipment"
Supplement No. 1 to Part 743; Wassenaar Arrangement Participating States

Part 744 Control Policy: End-user and End-use Based
744.1 General provisions
744.2 Restrictions on certain nuclear end-uses
744.3 Restrictions on certain rocket systems (including ballistic missile systems and space launch vehicles and sounding rockets) and unmanned air vehicles (including cruise missile systems, target drones and reconnaissance drones) end-uses
744.4 Restrictions on certain chemical and biological weapons end-uses

744.5 Restrictions on certain maritime nuclear propulsion end-uses
744.6 Restrictions on certain activities of U.S. persons
744.7 Restrictions on certain exports to and for the use of certain foreign vessels or aircraft
744.8 Restrictions on exports and reexports to persons designated pursuant to Executive Order 13382 – Blocking Property of Weapons of Mass Destruction Proliferators and Their Supporters
744.9 Restrictions on certain exports and reexports of cameras controlled by ECCNs 6A003.b.3, 6A003.b.4.b, or 6A003.b.4.c
744.10 Restrictions on certain entities in Russia
744.11 License requirements that apply to entities acting contrary to national security or foreign policy interests of the United States
744.12 Restrictions on exports and reexports to persons designated in or pursuant to Executive Order 13224 (Specially Designated Global Terrorist) (SDGT)
744.13 Restrictions on exports and reexports to persons designated pursuant to Executive Order 12947 (Specially Designated Terrorists) (SDT)
744.14 Restrictions on exports and reexports to designated Foreign Terrorist Organizations (FTOs)
744.15 Restrictions on exports, reexports and transfers (in-country) to persons listed on the Unverified List
744.16 Entity List
744.17 Restrictions on certain exports, reexports and transfers (in-country) of microprocessors and associated "software" and "technology" for 'military end uses' and to 'military end users'
744.18 Restrictions on exports, reexports, and transfers to persons designated in or pursuant to Executive Order 13315
744.19 Licensing policy regarding persons sanctioned pursuant to specified statutes
744.20 License requirements that apply to certain sanctioned entities
744.21 Restrictions on certain 'military end use' or 'military end user' in the People's Republic of China, Russia, or Venezuela.
744.22 Restrictions on exports, reexports and transfers to persons whose property and interests in property are blocked pursuant to Executive Orders 13310, 13448 or 13464
Supplement No. 1 to Part 744; Military End-use Examples for §744.17
Supplement No. 2 to Part 744; List of Items Subject to the Military End-use License Requirement of §744.21
Supplement No. 3 to Part 744; Countries Not Subject to Certain Nuclear End-use Restrictions in §744.2(a)
Supplement No. 4 to Part 744; Entity List
Supplement No. 5 to Part 744; Procedures for End-User Review Committee Entity List Decisions
Supplement No. 6 to Part 744; Unverified List

Part 745 Chemical Weapons Convention Requirements
745.1 Advance notification and annual report of all exports of Schedule 1 chemicals to other States Parties
745.2 End-Use Certificate reporting requirements under the Chemical Weapons Convention
Supplement No. 1 to Part 745; Schedules of Chemicals
Supplement No. 2 to Part 745; States Parties to the Convention on the Prohibition of the Development, Production, Stockpiling, and Use of Chemical Weapons and on Their Destruction
Supplement No. 3 to Part 745; Foreign Authorized Agencies Responsible for Issuing End-Use Certificates Pursuant to §745.2

Part 746 Embargoes and Other Special Controls
746.1 Introduction
746.2 Cuba
746.3 Iraq

746.4 North Korea
746.5 Russian industry sector sanctions
746.6 [RESERVED]
746.7 Iran
746.8 [RESERVED]
746.9 Syria
Supplement No. 1 to Part 746; Examples of Luxury Goods
Supplement No. 2 to Part 746; Russian Industry Sector Sanction List

Part 747 [RESERVED]

Part 748 Applications (Classification, Advisory, and License) and Documentation
748.1 General provisions
748.2 Obtaining forms; mailing addresses
748.3 Classification Requests and Advisory Opinions
748.4 Basic guidance related to applying for a license
748.5 Parties to the transaction
748.6 General instructions for license applications
748.7 Registering for electronic submission of license applications and related documents
748.8 Unique license application and submission requirements
748.9 Support documents for evaluation of foreign parties in license applications and/or for promoting compliance with license requirements
748.10 People's Republic of China (PRC) End User Statement
748.11 Statement by Ultimate Consignee and Purchaser
748.12 Firearms Convention (FC) Import Certificate
748.13 Hong Kong Import and Export Licenses
748.14 Granting of exceptions to the support document requirements
748.15 Authorization Validated End-user (VEU)
Supplement No. 1 to Part 748; BIS-748P; BIS-748P-A: Item Appendix, and BIS-748P-B: End-User Appendix; Multipurpose Application Instructions
Supplement No. 2 to Part 748; Unique Application and Submission Requirements
Supplement No. 3 to Part 748; Statement by Ultimate Consignee and Purchaser Content Requirements
Supplement No. 4 to Part 748; [RESERVED]
Supplement No. 5 to Part 748; U.S. Import Certificate and Delivery Verification Procedure
Supplement No. 6 to Part 748; Authorities issuing Import Certificates under the Firearms Convention [RESERVED]
Supplement No. 7 to Part 748; Authorization Validated End-user (VEU): List of Validated End-Users, Respective Eligible Items for export, reexport and transfer, and Eligible Destinations
Supplement No. 8 to Part 748; Information Required in Requests for Validated End-User (VEU) Authorization
Supplement No. 9 to Part 748; End-User Review Committee Procedures

Part 750 Application Processing, Issuance, and/or Denial
750.1 Scope
750.2 Processing of Classification Requests and Advisory Opinions
750.3 Review of license applications by BIS and other government agencies and departments
750.4 Procedures for processing license applications
750.5 [RESERVED]
750.6 Denial of license applications
750.7 Issuance of licenses
750.8 Revocation or suspension of licenses

750.9 Duplicate licenses
750.10 Transfer of licenses for exports
750.11 Shipping tolerances

Part 752 [RESERVED]

Part 754 Short Supply Controls
754.1 Introduction
754.2 Crude oil
754.3 Petroleum products not including crude oil
754.4 Unprocessed western red cedar
754.5 Horses for export by sea
754.6 Registration of U.S. agricultural commodities for exemption from short supply limitations on export
754.7 Petitions for the imposition of monitoring or controls on recyclable metallic materials; Public hearings
Supplement No. 1 to Part 754; Crude Petroleum and Petroleum Products
Supplement No. 2 to Part 754; Unprocessed Western Red Cedar
Supplement No. 3 to Part 754; Statutory Provisions Dealing with Exports of Crude Oil

Part 756 Appeals and Judicial Review
756.1 Scope
756.2 Appeal from an administrative action
756.3 Judicial review of agency action

Part 758 Export Clearance Requirements and Authorities
758.1 The Electronic Export Information (EEI) filing to the Automated Export System (AES)
758.2 Automated Export System (AES)
758.3 Responsibilities of parties to the transaction
758.4 Use of export license
758.5 Conformity of documents and unloading of items
758.6 Destination control statement and other information furnished to consignees
758.7 Authorities of the Bureau of Industry and Security, Office of Export Enforcement (OEE)
758.8 Return or unloading of cargo
758.9 Other applicable laws and regulations
758.10 Entry Clearance Requirements for Temporary Imports
758.11 Export Clearance Requirements for Firearms and Related Items

Part 760 Restrictive Trade Practices or Boycotts
760.1 Definitions
760.2 Prohibitions
760.3 Exception to prohibitions
760.4 Evasion
760.5 Reporting requirements
Supplement Nos. 1-16; Interpretations

Part 762 Recordkeeping
762.1 Scope
762.2 Records to be retained
762.3 Records exempt from recordkeeping requirements

762.4 Original records required
762.5 Reproduction of original records
762.6 Period of retention
762.7 Producing and inspecting records

Part 764 Enforcement and Protective Measures
764.1 Introduction
764.2 Violations
764.3 Sanctions
764.4 Reporting of violations
764.5 Voluntary self-disclosure
764.6 Protective administrative measures
764.7 Activities involving items that may have been illegally exported or reexported to Libya
764.8 Voluntary self-disclosures for boycott provisions
Supplement No. 1 to Part 764; Standard Terms of Orders Denying Export Privileges

Part 766 Administrative Enforcement Proceedings
766.1 Scope
766.2 Definitions
766.3 Institution of administrative enforcement proceedings
766.4 Representation
766.5 Filing and service of papers other than charging letter
766.6 Answer and demand for hearing
766.7 Default
766.8 Summary decision
766.9 Discovery
766.10 Subpoenas
766.11 Matter protected against disclosure
766.12 Prehearing conference
766.13 Hearings
766.14 Interlocutory review of rulings
766.15 Proceeding without a hearing
766.16 Procedural stipulations; extension of time
766.17 Decision of the administrative law judge
766.18 Settlement
766.19 Reopening
766.20 Record for decision and availability of documents
766.21 Appeals
766.22 Review by Under Secretary
766.23 Related persons
766.24 Temporary denials
766.25 Administrative action denying export privileges
Supplement No. 1 to part 766; Guidance on Charging and Penalty Determinations in Settlement of Administrative Enforcement Cases
Supplement No. 2 to part 766; Guidance on Charging and Penalty Determinations in Settlement of Administrative Enforcement Cases Involving Antiboycott Matters

Part 768 Foreign Availability Determination Procedures and Criteria
768.1 Introduction
768.2 Foreign availability described
768.3 Foreign availability assessment
768.4 Initiation of an assessment

768.5 Contents of foreign availability submissions and Technical Advisory Committee certifications
768.6 Criteria
768.7 Procedures
768.8 Eligibility of expedited licensing procedures for non-controlled countries
768.9 Appeals of negative foreign availability determinations
768.10 Removal of controls on less sophisticated items
Supplement No. 1 to Part 768; Evidence of Foreign Availability
Supplement No. 2 to Part 768; Items Eligible for Expedited Licensing Procedures [RESERVED]

Part 770 Interpretations
770.1 Introduction
770.2 Item interpretations
770.3 Interpretations related to exports of technology and software to destinations in Country Group D:1

Part 772 Definitions of Terms
772.1 Definitions of terms as used in the Export Administration Regulations (EAR)

Part 774 The Commerce Control List
774.1 Introduction
774.2 [RESERVED]
Supplement No. 1 to Part 774; The Commerce Control List
Category 0 - Nuclear Materials, Facilities & Equipment (and Miscellaneous Items)
Category 1 - Materials, Chemicals, "Microorganisms", and Toxins
Category 2 - Materials Processing
Category 3 - Electronics
Category 4 - Computers
Category 5 (Part 1) - Telecommunications
Category 5 (Part 2) - Information Security
Category 6 - Sensors and Lasers
Category 7 - Navigation and Avionics
Category 8 - Marine
Category 9 - Aerospace and Propulsion
Supplement No. 2 to Part 774; General Technology and Software Notes
Supplement No. 3 to Part 774; Statements of Understanding
Supplement No. 4 to Part 774; Commerce Control List Order of Review
Supplement No. 5 to Part 774; Items Classified under ECCNs 0A521, 0B521, 0C521, 0D521 and 0E521
Supplement No. 6 to Part 774; Sensitive List
Supplement No. 7 to Part 774; Very Sensitive List