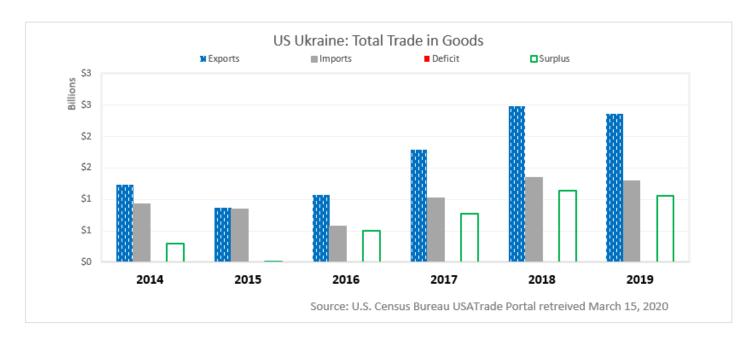
2019

### I. Total U.S. Trade in goods with Ukraine

In 2019, U.S. exports to Ukraine totaled \$2.4 billion, a 5.3% (\$0.1 billion) decrease from 2018; U.S. imports from Ukraine totaled \$1.3 billion, a 4.0% (\$0.05 billion) decrease; and the trade deficit was \$1.1 billion, a 6.8% (\$0.08 billion) decrease.



In 2019, <u>0.1%</u> of total <u>U.S. exports</u> were shipped to Ukraine while <u>0.1%</u> of total <u>U.S. Imports</u> were delivered from Ukraine. In 2019, the level of U.S. exports to, and U.S. import from Ukraine exceeded the prior five-year average level by value not adjusted for inflation. The U.S. trade balance with Ukraine is positive.

	2014	2015	2016	2017	2018	2019	2014-2018 Average
EXPORTS	\$1,239.0	\$862.2	\$1,076.7	\$1,788.5	\$2,486.2	\$2,354.8	\$1,490.5
∆value	-	\$376.9	\$214.5	\$711.8	\$697.7	-\$131.4	
∆pct	-	30.4%	24.9%	66.1%	39.0%	-5.3%	
IMPORTS	\$935.2	\$851.5	\$578.2	\$1,019.6	\$1,352.6	\$1,298.5	\$947.4
∆value	-	- \$83.7	-\$273.3	\$441.4	\$333.0	-\$54.2	
∆pct	-	-9.0%	-32.1%	76.3%	32.7%	-4.0%	
BALANCE	\$303.8	\$10.6	\$498.5	\$768.9	\$1,133.5	\$1,056.4	\$543.1
∆value	-	(\$293.2)	\$487.8	\$270.4	\$364.7	(\$77.2)	
∆pct	-	96.5%	4581.1%	54.3%	47.4%	-6.8%	
	Values in	Millions of U.S. Do	llars. Source: U	.S. Census Bur	reau USATrade I	Portal March 15. 2	2020



In 2019, of the \$2.4 billion in U.S. exports to Ukraine, the top commodity sectors were Transportation Equipment (33.5%), Minerals (30.7%), and Machinery and Mechanical Appliances (9.8%).

In 2019, of the \$1.3 billion in U.S. imports from Ukraine, the top commodity sectors were Base metals (59.0%), Agriculture products (12.0%), and Machinery and Mechanical Appliances (9.5%).

Imports from Ukraine by Sector	Ukraine Commodity Trade 2019	US exports to Ukraine by Sector
\$1,298,468,373	Total Value All Commodities	\$2,354,827,276
12.0%	Agriculture (Chapters 01-24)	9.1%
1.7%	Minerals (Chapters 25-27)	30.7%
2.6%	Chemicals, Plastics, Leather (Chapters 28-43)	6.1%
1.1%	Wood Products (Chapters 44-49)	0.5%
5.0%	Textiles and Footwear (Chapters 50-67)	2.1%
1.4%	Stone, Glass, & Semiprecious Metals (Chapters 68-71)	0.3%
59.0%	Base metals (Chapters 72-83)	3.3%
9.5%	Machinery (Chapters 84-85)	9.8%
1.8%	Transportation (Chapters 86-89)	33.5%
0.8%	Optical, Measuring, Medical or Other Instruments (Chapters 90-92)	2.3%
0.0%	Arms & Ammunition (Chapter 93)	0.4%
1.9%	Miscellaneous Manufactured Items (Chapters 94 - 96)	0.2%
0.2%	Art & Antiques (Chapter 97)	0.0%
2.9%	Special Items (Chapters 98-99** Imports only 99)	1.6%
	Source: U.S. Census Bureau USATrade Portal retreived March 9, 2020	



# 2019

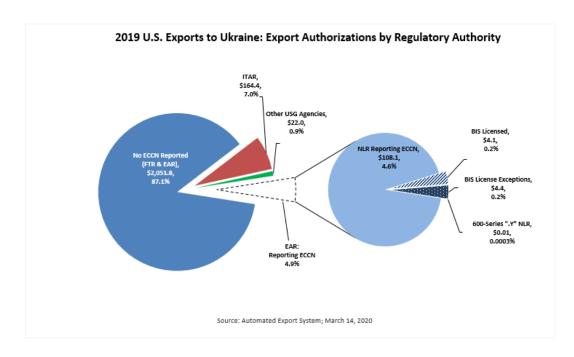
#### II. U.S. Exports to Ukraine subject to U.S. Government Filing Requirements

- In 2019, of the \$2.4 billion in U.S. exports to Ukraine:
  - 0 0.4% were subject to a BIS license requirement
    - 0.2% were exported under a BIS license; Worldwide, 0.4% were exported under a BIS license.
    - 0.2% were exported under a BIS license exception; Worldwide, 1.0% were exported under a BIS license exception.
    - 4.6% were exported under No License Required with an ECCN; Worldwide, 12.1% were exported under NLR with an ECCN reported
    - 0.00003% were exported under NLR for .y 600-series items; Worldwide, 0.02% were exported under NLR for .y 600-series items.

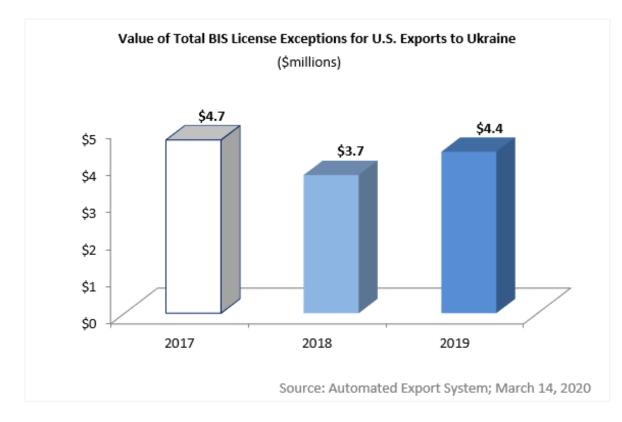
		2017	2018	2019
Departme	ent of State	\$108.7	\$134.4	\$164.4
Other USG	Agencies	\$10.6	\$12.1	\$22.0
Departme	nt of Commerce	\$1,669.2	\$2,339.6	\$2,168.4
	BIS Licensed	\$6.0	\$4.2	\$4.1
	BIS License Exceptions	\$4.7	\$3.7	\$4.4
	NLR reporting an ECCN	\$132.9	\$303.5	\$108.1
	NLR not reporting an ECCN	\$1,525.5	\$2,028.1	\$2,051.8
	600-Series ".Y" Paragraph NLR	\$0.05	\$0.04	\$0.01
ues in Millions	of U.S. Dollars.	Source: Automated Export System	April 1, 2020	

Reasons for Control			al	Nuclear Nonproliferation					-		Firearms Convention	Crim	ne Control		Anti- Terrorism	
	CB:1	CB:2	CB:3	NP:1	NP:2	NS:1	NS:2	MT:1	RS:1	RS:2	FC:1	CC:1	CC:2	CC:3	AT:1	AT:2
Ukraine	x					x	x	x	x	x		x	x			

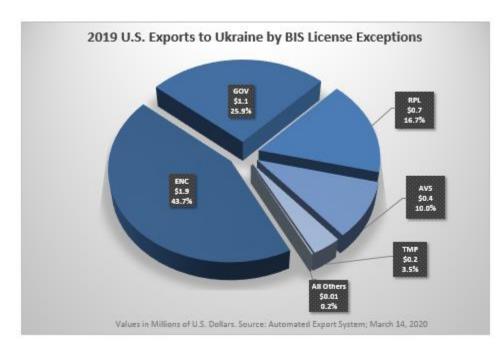
#### CFR 15 Part 738 Supplement 1

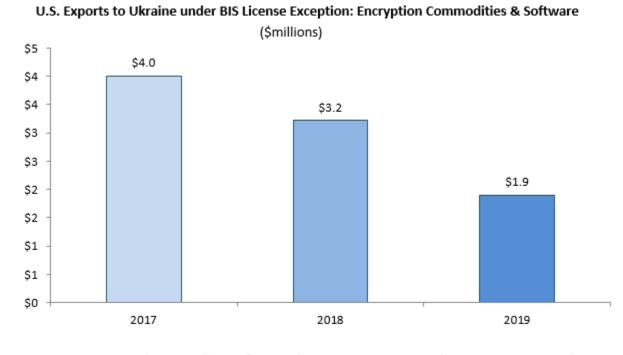




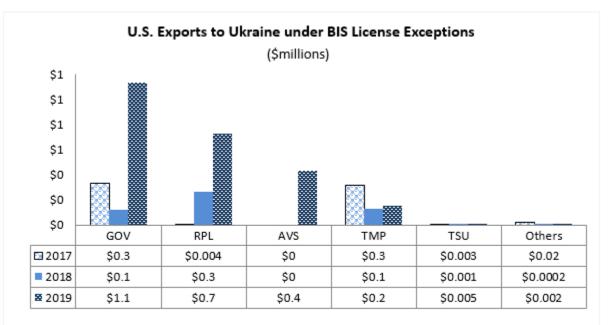


The top license exception Encryption commodities, Software and Technology (ENC) totaled \$1.9 million (43.7% of all license exceptions).









#### NOTE: ENC: The BIS License Exception for Encryption is excluded from this chart

Values in Millions of U.S. Dollars. Source: Automated Export System; March 14, 2020



	Тор Т	en ECCN	Is by Value to Ukraine (\$millions)
	#1	0A987	Optical Sighting Devices for Firearms
	#2	5A002	Systems/Equip./Integrated Circuits for Info Sec
D	#3	6A003	Cameras
SE	#4	1A006	Disposal of Improvised Explosive Devices
Z	#5	0A984	Shotguns, Buckshot,Shotgun Shells
CE	#6	1A004	Protective and Detection Equip.
	#7	6A995	"Lasers" as Follows (See List of Items Controlled).
	#8	EAR99	Items subject to the EAR that are not elsewhere controlled
	#9	6A005	Optical Equip. (Lasers)
	#10	7A611	Navigation and Avionics equipment and systems, and "parts,"

	Тор Т	Fen ECCNs by Value to Ukraine (\$millions)
	#1	5A002 Systems/Equip./Integrated Circuits for Info Sec
	#2	EAR99 Items subject to the EAR that are not elsewhere controlled
NS	#3	2A983 Explosives Or Detonator Detection Equip.
TI0	#4	9A991 Aircraft N.E.S. and Gas Turbine Engines not Controlled by 9A001 or 9A101
Ŀ.	#5	3A611 Military Electronics
CE	#6	5A992 Equipment not controlled by 5A002
Ě	#7	1A004 Protective and Detection Equip.
	#8	5A001 Telecommunications/Transmission Equip.
	#9	2B351 toxic Gas Monitoring Systems & Dedicated Detectors
	#10	8A992 Vessels, Marine Systems or Equip. not Controlled by 8A001 or 8A002

	#1	EAR99	Items subject to the EAR that are not elsewhere controlled
Z	#2	9A991	Aircraft N.E.S. and Gas Turbine Engines not Controlled by 9A001 or 9A101
U U	#3	5A992	Equipment not controlled by 5A002
Ш	#4	2B350	Chemical Manufacturing Facilities and Equip.
ith	#5	5A991	Telecommunication Equip. Not Controlled by 5A001
N	#6	1A999	Specific Processing Equip., N.E.S
R	#7	7A994	Other Navigation Direction Finding Equip Not Controlled under 7A003
N	#8	1C992	Commercial Charges and Devices Containing Energetic Materials
	#9	3A992	General Purpose Electronic Equip. Note Controlled by 3A002
	#10	1C991	Vaccines, Immunotoxins and Medical Products

Source: Automated Export System, retrieved on March 18, 2020

Note: ECCN descriptions have been truncated, please review Commerce Control Lit for full description.



## U.S. Exports to Ukraine by Top Ten Export Control Classification Numbers (ECCN)

	Тор Т	en ECCN	Is to Ukraine by Shipment Count
	#1	0A987	Optical Sighting Devices for Firearms
	#2	5A002	Systems/Equip./Integrated Circuits for Info Sec
D	#3	1A006	Disposal of Improvised Explosive Devices
SE	#4	0A984	Shotguns, Buckshot,Shotgun Shells
E	#5	1A985	Fingerprinting Powders, Dyes, and Inks
D	#6	EAR99	Items subject to the EAR that are not elsewhere controlled
	#7	6A005	Optical Equip. (Lasers)
	#8	1A004	Protective and Detection Equip.
	#9	3A001	Electronic Components
	#10	3A611	Military Electronics

	Тор Т	en ECCNs to Ukraine by Shipment Count
	#1	5A002 Systems/Equip./Integrated Circuits for Info Sec
S	#2	EAR99 Items subject to the EAR that are not elsewhere controlled
N	#3	5A992 Equipment not controlled by 5A002
<u></u>	#4	1A004 Protective and Detection Equip.
РТ	#5	3A611 Military Electronics
Ш	#6	7A103 Instrumentation, Navigation Equip./Systems Not
X	#7	9A991 Aircraft N.E.S. and Gas Turbine Engines not Controlled by 9A001 or 9A101
	#8	5D002 "Sortware" as follows (see List of Items Controlled)
	#9	7A994 Other Navigation Direction Finding Equip Not Controlled under 7A003
	#10	3A002 General Purpose Electronic Equip.

	Тор Т	en ECCN	Is to Ukraine by Shipment Count
	#1	EAR99	Items subject to the EAR that are not elsewhere controlled
N	#2	9A991	Aircraft N.E.S. and Gas Turbine Engines not Controlled by 9A001 or 9A101
U U	#3	5A992	Equipment not controlled by 5A002
	#4	5A991	Telecommunication Equip. Not Controlled by 5A001
it	#5	7A994	Other Navigation Direction Finding Equip Not Controlled under 7A003
witl	#6	3A992	General Purpose Electronic Equip. Note Controlled by 3A002
R	#7	3A991	Electronic Devices and "Components" not controlled by 3A001
I	#8	3A999	Specific Processing Equip., N.E.S.
	#9	1C992	Commercial Charges and Devices Containing Energetic Materials
	#10	5D992	"Information Security" "software" not controlled by 5D002

Source: Automated Export System, retrieved on March 18, 2020

Note: ECCN descriptions have been truncated, please review Commerce Control Lit for full description.

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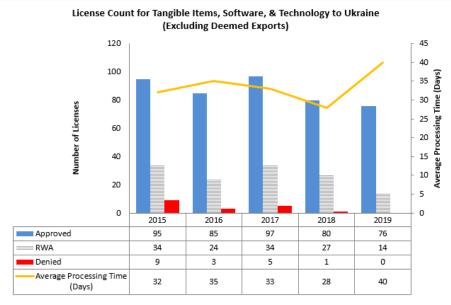


#### **III. BIS Licensing to Ukraine**

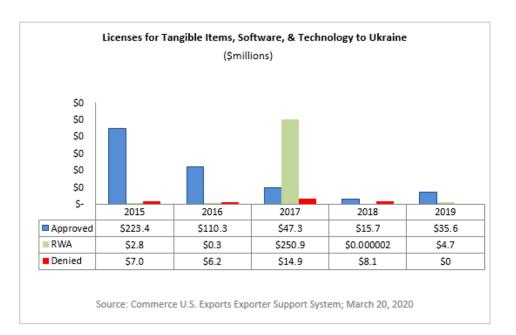
In 2019, BIS reviewed 90 export/re-export applications (not including deemed export applications) valued at \$40.3 million for Ukraine, out of a total of 32,993 applications worldwide for tangible items, software and technology valued at \$158.8 billion.

- Approved applications for Ukraine totaled 76 (88.4% of the total applications) valued at \$35.6 million, compared to 28,223 (85.5%) approved for all destinations valued at \$123.0 billion.

In 2019, BIS did not receive deemed export applications from Ukraine nationals, out of 1,320 applications worldwide for deemed exports.



Source: Commerce U.S. Exports Exporter Support System; March 20, 2020



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D	eemed Export Lic	enses for Ukrain	e
	Approved	RWA	Denied
2015	16	0	0
2016	29	0	0
2017	11	1	0
2018	5	1	0
2019	0	0	0
Source: Commerce	U.S. Exports Exporter'	s Support System, M	larch 20, 2020

# **BIS License Determination Trends for Ukraine**

Тој	p Ten A	Approved	(with deemed) ECCNs to Ukraine by Count	2015	2016	2017	2018	2019	% of ₩orld Total 2019
	#1	0A987	Optical Sighting Devices for Firearms	22	15	8	22	22	1.8%
	<b>#</b> 2	3A001	Electronic Components	0	13	8	4	10	0.9%
Δ	#3	1A004	Protective and Detection Equip.	4	6	11	6	6	0.7%
APPROVE	≢4	0A984	Shotguns, Buckshot, Shotgun Shells	8	8	6	11	5	0.7%
б	#5	6A003	Cameras	3	6	8	4	4	0.4%
Ř	#6	3A611	Military Electronics	3	3	6	3	3	0.1%
2	#7	3E001	Technology for Dev Or Prod of Certain Items In 3A	14	11	7	2	3	0.6%
◄	<b>#</b> 8	3E002	Other Technology for Items In Category 3	9	26	5	2	3	0.7%
	#9	5A002	Systems/Equip./Integrated Circuits for Info Sec	5	4	4	4	3	0.7%
	#10	1A006	Disposal of Improvised Explosive Devices	0	0	0	0	2	9.1%
			Source: Commerce U.S. Exports Exporter S	Support Sy	stem; Ma	rch 20, 2	020		

Note: ECCN Descriptions have been truncated: please review Commerce Control List for Full Description

In 2019, BIS did not deny any export applications for exports to Ukraine.



Summary of U.S. Exports to Ukraine Calendar Year 2019										
	CY2019	CY2018	2 of Total Exports	Percentage Change from previous year						
Total Exports	\$2,354,827,276	\$2,486,186,205		-5.3%						
Subject to ITAR	\$164,407,469	\$134,419,819	7.0%	22.3%						
Subject to Other Gov. Agencies	\$22,020,594	\$12,119,830	0.9%	81.7%						
- Angenerez	Detailed Sum	mary of EAR-Conti	rolled Exports							
AES License Type	Description	Yalue	Percentage of Total	Percentage Description						
C30	Licenses	\$4,105,117	100.0%							
VDC	Voluntary Disclosure	\$0	0.0%							
Total of	Licensed	\$4,105,117	0.2%	Total BIS Licensed Exports/ Total Exports						
C37	CIV	\$1,706	0.04%							
C40	TMP	\$154,718	3.5%							
C41	RPL	\$725,881	16.7%							
C42	GOV	\$1,131,057	25.9%							
C44	TSU	\$4,950	0.1%							
C46	AVS	\$435,403	10.0%							
C50	ENC	\$1,305,246	43.7%							
Total of Lice	se Exceptions	\$4,358,961	0.2%	Total License Exceptions/ Total Exports						
			51.5%	Total BIS License Exceptions/ Total Subject to BIS License Requirement						
	icense requirement cense Exceptions)	\$8,464,078	0.4%	Total Subject to BIS License Requirement/Total Exports						
C33	NLR with an ECCN	\$108,088,648	4.6%	NLR with an ECCN/ Total Exports						
C60	NLR for .y subparagraph 600- series	\$7,286	0.0003%	NLR Dot Y subparagraph 600- series/ Total Exports						
Total	of NLR	\$108,095,934	4.6%	Total NLR/ Total Exports						
Exports not rep	oorting an ECCN	\$2,051,839,201	87.1%	Exports not reporting an ECCN/ Total Exports						
Source: U	J.S. Census Bureau, Foreig	n Trade Division and Aut	omated Export Sy	stem, April 1, 2020						