

SBIR AND STTR CONTRACTS

Research and Development Contracts Available!

Small Business Innovation Research (SBIR) Program

The Small Business Innovation Research (SBIR) program is a three-phase program that provides funding to domestic small businesses to engage in Federal Research and Development that has the potential for commercialization. The goal of this competitive, awards-based program is to nurture scientific and technological innovation and entrepreneurship through the investment of Federal funds from eleven federal agencies.

Small Business Technology Transfer (STTR) Program

The Small Business Technology Transfer (STTR) program is a three-phase program similar to the SBIR program. However, the STTR focuses on providing opportunities for small businesses and U.S. research institutions to collaborate. The five agencies currently participating in the STTR program are the Department of Defense, the Department of Energy, the Department of Health and Human Services, the National Aeronautics and Space Administration, and the National Science Foundation.

STTR Eligibility Requirements

- **1.** Organized as a for-profit business based in the U.S.
- **2.** Formal cooperative research and development effort with:
 - **a.** Minimum 40% by small business
 - **b.** Minimum 30% by a U.S. research institution
- **3**. The U.S. research institution must be one of the following:
 - **a.** A college or university
 - **b.** Another non-profit research organization
 - **c.** A federal research and development center
- **4.** Follow-on R&D commercialization efforts

Agency Resources:

NASA SBIR and STTR Program

The NASA SBIR and STTR programs provide an opportunity for small, high technology companies and research institutions (RI) to participate in Federal Government sponsored research and development (R&D) efforts in key technology areas. NASA's online resources for this program can be accessed at:

http://sbir.gsfc.nasa.gov/SBIR/SBIR.html

United States Air Force SBIR and STTR Program:

The United States Air Force also maintains individual SBIR and STTR programs with similar goals. For further information about the USAF's programs please visit:

http://www.afsbirsttr.com/Portal.aspx

The Air Force has also implemented a "Commercialization Readiness Program," which serves as a technology-based, needs-gathering process to help the organization identify companies that can produce needed technologies. To learn more about this program, please visit:

 $\frac{http://www.afsbirsttr.com/CommercializationReadinessProg}{ram/default.aspx}$

Department of Defense SBIR and STTR Program:

For comprehensive information on the DoD's multiple SBIR and STTR programs and initiatives, you can visit http://www.acq.osd.mil/osbp/sbir/.

SBIR Eligibility Requirements

- **1.** Organized as a for-profit business based in the U.S.
- **2.** No more than 500 employees, including affiliates
- **3.** Primary employment must be with the small business
- **4a.** At least 51% owned by U.S. citizens or permanent residents AND independently operated

OR

4b. At least 51% owned and controlled by another for-profit business that is at least 51% owned and controlled by U.S. citizens or permanent residents

Contact Information:

SBIR/STTR Coordinating Agency: Small Business Administration
409 Third Street, SW
Washington, DC 20416
(202) 205-6450
http://www.sbir.gov/