

# **U.S. Department of Commerce Bureau of Industry and Security License Exception - STA**



#### **License Exception**

Strategic Trade Authorization (STA)

**STA** 

**Purpose** 

To authorize, with conditions, the export, reexport, and transfer (in-country) of specified items that would otherwise require a license to destinations that pose relatively low risk of unauthorized uses.

#### Limitations

15 CFR 740.20(b)

STA may not be used for:

- ECCNs 0A501.a, .b, .c, .d, or .e; 0A981; 0A982; 0A983; 0A503; 0E504; 0E982
- ECCN 1C351.a, .b, .c, .d.11, .d.12, or .e
- ECCNs 1C353, 1C354, 1E001, 1E351
- Items controlled for any of the following reasons: encryption items (EI), short supply (SS), surreptitious listening (SL), missile technology (MT) or chemical weapons (CW)
- Items subject to the exclusive export control jurisdiction of another agency
- ECCNs 6A002, 6D002, 6D003.c, 6D991, or related technology controlled by 6E001 or 6E002

### STA use is limited for:

- ECCN 1C351.d.1 through 1C351.d.10 and 1C351.d.13 through 1C351.d.19
- ECCNs 9D001, 9D002, 9D004, 9E001, 9E002, 9E003
- ECCN 3A001.b.2 or b.3
- ECCN 0A502 and ECCN 7E004

15 CFR Supp. No. 1 to 774

ECCN entry in Commerce Control List must not disallow or limit STA use.

## **Authorized Items and Destinations** 15 CFR 740.20(c)

National Security (NS), Chemical and Biological Weapons (CB), Non-Proliferation (NP), Regional Security (RS), Crime Control (CC), and Significant Items (SI) reasons for control - Country Group A:5

Germany
Greece
Hungary
Iceland
India
Ireland
Italy
Japan
Latvia
Lithuania
Luxembourg
Netherlands
New Zealand

Norway Poland **Portugal** Romania Slovakia Slovenia South Korea Spain Sweden Switzerland Turkey

NS only reason for control - Country Group A:6 (not eligible for 600 series)

Albania Cyprus Israel

Malta Mexico Singapore

South Africa Taiwan

**United Kingdom** 



# U.S. Department of Commerce Bureau of Industry and Security License Type - STA



# **Conditions** 15 CFR 740.20(d)

Exporters using STA must meet the following conditions:

- Provide consignee with ECCN
- Obtain consignee statement prior to export, stating the consignee
  - Is aware the item will be shipped sing STA
  - Is aware of the ECCN
  - o Understands the STA reexport restrictions
  - Agrees not to export, reexport, or transfer the item to any prohibited destination, use, or user
  - Agrees to provide copies of the relevant records to the U.S.
    Government
- Notify consignee in writing that shipment or part of shipment is authorized by STA
- Maintain records of which shipments belong to each consignee statement

## Additional Requirements for 600-Series Items 78 FR 22718

Items that fall under 600-series ECCNs (e.g., 9A610) must meet additional requirements to be eligible for STA.

- For ultimate end use by government of country in Country Group A:5, by the U.S. Government, or for return to the United States; or if the U.S. Government has otherwise authorized its use under a Commerce or State license
- Non-U.S. parties must have been previously listed on an approved State or Commerce license
- Consignee statement must also address ultimate end user restrictions for 600 series items and agree to end use check
- Eligibility request required for end items controlled under 0A606.a, 8A609.a, 8A620.a or .b, or 9A610.a