

U.S. Trade with Ukraine

I. Total U.S. Trade in goods with Ukraine

In 2018, U.S. exports to Ukraine totaled \$2.4 billion, a 37.9% (\$677.0 million) increase from 2017; U.S. imports from Ukraine totaled \$1.3 billion, a 32.6% (\$332.2 million) increase; and the trade surplus was \$1.1 billion, a 44.9% (\$344.8 million) increase.



In 2018, <u>0.2%</u> of total <u>U.S. exports</u> were shipped to Ukraine while <u>0.05%</u> of total <u>U.S. Imports</u> were delivered from Ukraine. In 2018, the level of U.S. exports to Ukraine exceeded the five-year average level by value while U.S. imports from Ukraine also exceeded the prior five-year average level by value not adjusted for inflation, and the U.S. trade balance with Ukraine was positive.

Calendar Year	2013	2014	2015	2016	2017	2018	2013 - 2017 Average
EXPORTS Δvalue Δpct	\$1.9 B 	\$1.2 B \$-685.8 M -35.6%	\$862.1 M \$-376.9 M -30.4%	\$1 B \$214.5 M 24.9%	\$1.7 B \$711.3 M 66.1%	\$2.4 B \$677 M 37.9%	Ψ 1. 5 D
IMPORTS Δvalue Δpct	\$1 B 	\$935.2 M \$-101.1 M -9.8%	\$851.5 M \$-83.8 M -9.0%	\$578.4 M \$-273.1 M -32.1%	\$1 B \$441.3 M 76.3%	\$1.3 B \$332.2 M 32.6%	\$884.24 M
BALANCE Δvalue Δpct	\$888.5 M 	\$303.8 M \$-584.8 M -65.8%	\$10.6 M \$-293.2 M -96.5%	\$498.2 M \$487.6 M 4579.2%	\$768.2 M \$269.9 M 54.2%	\$1.1 B \$344.8 M 44.9%	\$493.8 M



U.S. Trade with Ukraine

In 2018, of the \$2.4 billion in U.S. exports to Ukraine, the top commodity sectors were Minerals (33.8%), Transportation Equipment (32.0%), and Machinery and Mechanical Appliances (9.9%).

In 2018, of the \$1.4 billion in U.S. imports from Ukraine, the top commodity sectors were Base Metals (68.4%), Agriculture (9.3%), and Machinery and Mechanical Appliances (5.7%).

2018 U.S. Imports from Ukraine by Sector	2018 U.S. Commodity Trade With Ukraine	2018 U.S. Exports to Ukraine by Sector
\$1,352,019,705	Total Value All Commodities	\$2,465,070,314
9.3%	Agriculture (Chapters 01-24)	9.4%
1.9%	Minerals (Chapters 25-27)	33.8%
2.6%	Chemicals, Plastics, Leather (Chapters 28-43)	5.6%
0.9%	Wood Products (Chapters 44-49)	0.6%
4.021%	Textiles and Footwear (Chapters 50-67)	1.8%
0.5%	Stone, Glass, & Semiprecious Metals (Chapters 68-71)	0.2%
68.4%	Base metals (Chapters 72-83)	3.0%
5.7%	Machinery (Chapters 84-85)	9.9%
1.19%	Transportation (Chapters 86-89)	32.0%
0.652%	Optical, Measuring, Medical or Other Instruments (Chapters 90-92)	1.9%
0.1%	Arms & Ammunition (Chapter 93)	0.6%
1.784%	Miscellaneous Manufactured Items (Chapters 94 - 96)	0.2%
0.1%	Art & Antiques (Chapter 97)	0.02%
2.8%	Special Items (Chapters 98-99** Imports only 99)	1.0%
	Source: U.S. Census Bureau, USA Trade Portal March 27th, 2	019



U.S. Exports to Ukraine

II. U.S. Exports to Ukraine subject to U.S. Government Filing Requirements

In 2018, of the \$2.4 billion in U.S. exports to Ukraine:

- o 0.3% were subject to a BIS license requirement.
 - 0.17% were exported under a BIS license; Worldwide, 0.4% were exported under a BIS license.
 - 0.15% were exported under a BIS license exception; Worldwide, 1.2% were exported under a BIS license exception.
- 12.3% were exported under No License Required with an ECCN; Worldwide, 12.1% were exported under No License Required with an ECCN.

	2016	2017	2018
Department of State	\$103.6	\$108.7	\$134.4
Other USG Agencies	\$16.6	\$10.6	\$12.1
Department of Commerce	\$956.5	\$1,668.7	\$2,318.5
BIS Licensed	\$3.4	\$6.0	\$4.2
BIS License Exceptions	\$4.6	\$4.7	\$3.7
NLR reporting an ECCN	\$111.1	\$133.0	\$303.5
NLR reporting EAR99	\$294.1	\$402.5	\$461.3
NLR not reporting an ECCN	\$543.3	\$1,122.5	\$1,545.
600-Series ".Y" Paragraph NLF	R \$0.1	\$0.05	\$0.04

Value in Millions; Source: U.S. Customs & Border Protection, Electronic Export Information Filings March 27th, 2019

Reasons for Control	Chemical and Biolo	gical Wea	apons		clear iferation	National S	Security	Missile Tech	Regional Stability		Firearms Crime Control		Anti-Terrorism			
Ukraine	CB:1	CB:2	CB:3	NP:1	NP:2	NS:1	NS:2	MT:1	RS:1	RS:2	FC:1	CC:1	CC:2	CC:3	AT:1	AT:2
OKIGITIC	X					X	X	X	X	X		X	X			

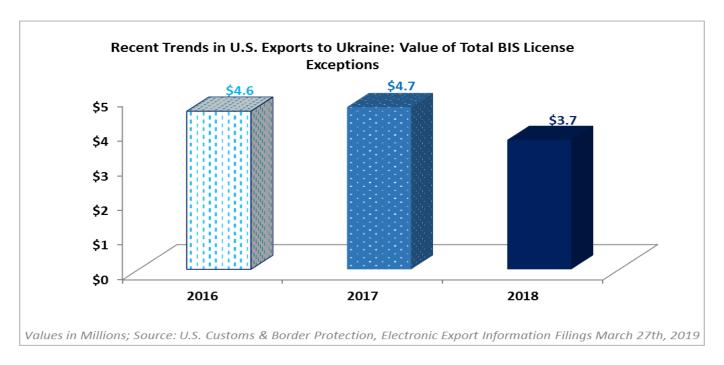
CFR 15 Part 738 Supplement 1



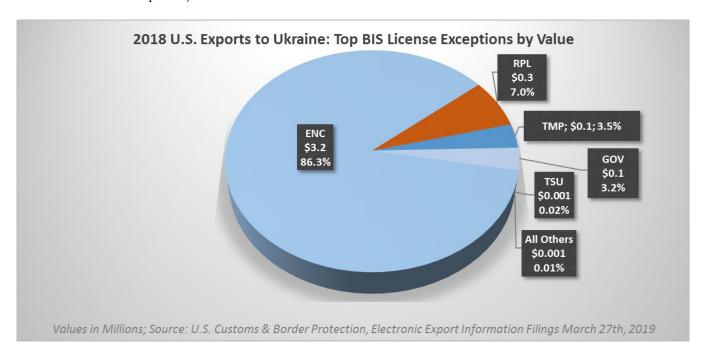


U.S. Exports to Ukraine

In 2018, U.S. exports to Ukraine shipped under a BIS license exception totaled \$3.7 million, a 20.2% (\$1.0 million) decrease from 2017.

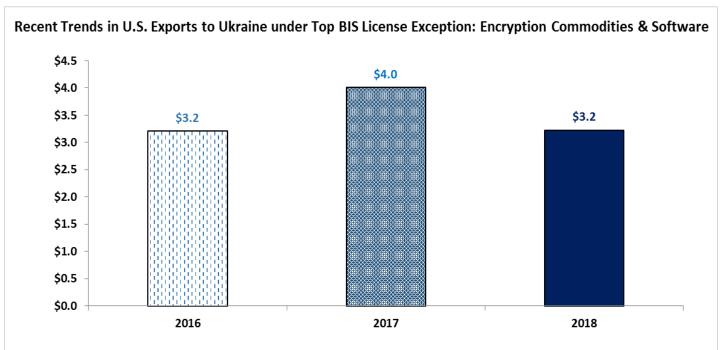


In 2018, the top license exception Encryption commodities, Software and Technology (ENC) totaled \$3.2 million (86.3% of all license exceptions).

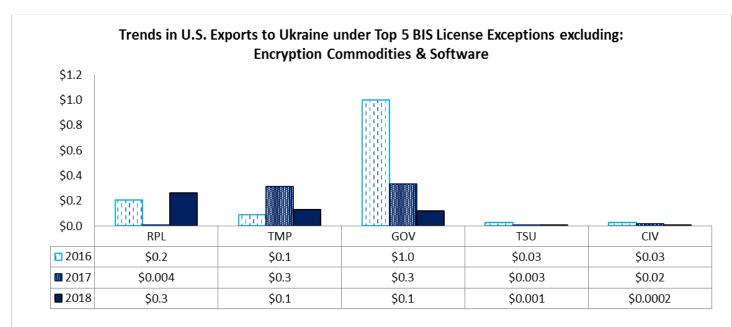




U.S. Exports to Ukraine



Values in Millions; Source: U.S. Customs & Border Protection, Electronic Export Information Filings March 27th, 2019



Note: The BIS License Exception for Encryption Commodities & Software is excluded from this chart

Values in Millions; Source: U.S. Customs & Border Protection, Electronic Export Information Filings March 27th, 2019



Office of Technology Evaluation U.S. Exports to Ukraine by Top Ten Export Control Classification Numbers (ECCN)

	Top Ten ECCNs by Value to Ukraine						
	#1	5A002	Systems/Equip./Integrated Circuits for Info Sec				
	#2	0A984	Shotguns, Buckshot, Shotgun Shells				
—	#3	3A981	Polygraphs/Fingerprint Analyzers/Cameras/Equip.				
S	#4	1A613	Armored and Protective Equip., Constructions, and Components				
Z	#5	6A003	Cameras				
18	#6	0A987	Optical Sighting Devices for Firearms				
\vdash	#7	1A607	Military dissemination "equipment" for riot control agents				
	#8	1A984	Chemical Agents, Including Tear Gas				
	#9	7A103	Instrumentation, Navigation Equip./Systems Not				
	#10	0A604	Commodities Related to Military Explosive Devices and Charges				

Source: U.S. Customs & Border Protection, Electronic Export Information Filings March 27th, 2019

	Top	Ten ECCNs by	Value to Ukraine			
S	#1	5A002	Systems/Equip./Integrated Circuits for Info Sec			
Ž	#2	3A611	Military Electronics			
0	#3	9A991	Aircraft N.E.S. and Gas Turbine Engines not Controlled by 9A001 or 9A101			
\vdash	#4	No ECCN	No ECCN is required for License Exceptions TMP*			
	#5	0A987	Optical Sighting Devices for Firearms			
	#6	0A606	ound Vehicles and Related Commodities			
19	#7	1A004	Protective and Detection Equip.			
	#8	EAR99	Items subject to the EAR that are not elsewhere controlled			
	#9	5A992	Equipment not controlled by 5A002			
	#10	3A001	Electronic Components			

Source: U.S. Customs & Border Protection, Electronic Export Information Filings March 27th, 2019

*Note: No ECCN is Required for License Exception TMP per EAR 758.1(g)(2)

	Ten ECCNs by	y Value to Ukraine
= #1	9A991	Aircraft N.E.S. and Gas Turbine Engines not Controlled by 9A001 or 9A101
#2	5A991	Telecommunication Equip. Not Controlled by 5A001
#3	1C992	Commercial Charges and Devices Containing Energetic Materials
_ #4	5A992	Equipment not controlled by 5A002
#5	2B999	Specific Processing Equip., N.E.S.
= #6	7A994	Other Navigation Direction Finding Equip Not Controlled under 7A003
#7	1C991	Vaccines, Immunotoxins and Medical Products
NLR with #4 #5 #5 #5 #5 #5 #5 #5 #5 #5 #5 #5 #5 #5	3A992	General Purpose Electronic Equip. Note Controlled by 3A002
= #9	1B999	Specific Processing Equip., N.E.S., as Follows (See List of Items Cont
#10	3A999	Specific Processing Equip., N.E.S.

Source: U.S. Customs & Border Protection, Electronic Export Information Filings March 27th, 2019

NOTE: ECCN Descriptions have been truncated; please review Commerce Control List for Full Description



Office of Technology Evaluation U.S. Exports to Ukraine by Top Ten Export Control Classification Numbers (ECCN)

Top	Ten ECCNs by	Count to Ukraine
#1	5A002	Systems/Equip./Integrated Circuits for Info Sec
#2	0A987	Optical Sighting Devices for Firearms
#3	0A984	Shotguns, Buckshot, Shotgun Shells
9 #4	3A981	Polygraphs/Fingerprint Analyzers/Cameras/Equip.
#5	6A003	Cameras
#6	1A004	Protective and Detection Equip.
#7	0A606	Ground Vehicles and Related Commodities
#8	6A005	Optical Equip. (Lasers)
#9	0A985	Discharge Type Arms and devices to administer electric shock
#10	1A984	Chemical Agents, Including Tear Gas

Source: U.S. Customs & Border Protection, Electronic Export Information Filings March 27th, 2019

To	p Ten ECCNs by	Count to Ukraine
#	1 5A002	Systems/Equip./Integrated Circuits for Info Sec
= #:	No ECCN	No ECCN is required for License Exceptions TMP*
	3A001	Electronic Components
#	4 3A611	Military Electronics
#.	5 EAR99	Items subject to the EAR that are not elsewhere controlled
#	9 A991	Aircraft N.E.S. and Gas Turbine Engines not Controlled by 9A001 or 9A101
9 #	7 0A606	Ground Vehicles and Related Commodities
#	3 0A987	Optical Sighting Devices for Firearms
#	6A003	Cameras
#1	. 0 1A613	Armored and Protective Equip., Constructions, and Components

Source: U.S. Customs & Border Protection, Electronic Export Information Filings March 27th, 2019

	Ten ECCNs by	y Count to Ukraine
#1	9A991	Aircraft N.E.S. and Gas Turbine Engines not Controlled by 9A001 or 9A101
#2	5A992	Equipment not controlled by 5A002
#3	5A991	Telecommunication Equip. Not Controlled by 5A001
_ #4	3A991	Electronic Devices and "Components" not controlled by 3A001
#5	7A994	Other Navigation Direction Finding Equip Not Controlled under 7A003
#4 #5 #6	3A992	General Purpose Electronic Equip. Note Controlled by 3A002
#7	1C992	Commercial Charges and Devices Containing Energetic Materials
#8	3A999	Specific Processing Equip., N.E.S.
#9	1A999	Specific Processing Equip., N.E.S
#10	4A994	Computers Not Controlled By 4A001 or 4A003

Source: U.S. Customs & Border Protection, Electronic Export Information Filings March 27th, 2019

NOTE: ECCN Descriptions have been truncated; please review Commerce Control List for Full Description

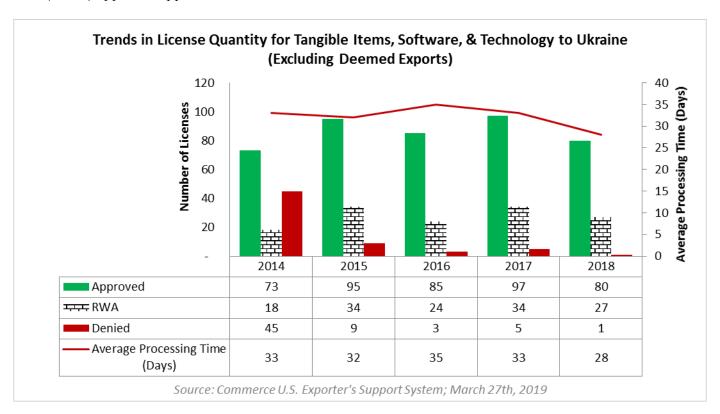


BIS License Determination Trends for Ukraine

III. BIS Licensing to Ukraine

In 2018, BIS reviewed 108 export/re-export applications (not including deemed export applications) valued at \$23.7 million for Ukraine, out of a total of 33,844 applications worldwide for tangible items, software and technology valued at \$81.3 billion.

– Approved applications for Ukraine totaled 80 (74.1% of the total applications) for \$15.7 million, compared to the 29,032 (85.8%) approved applications for all destinations valued at \$64.3 billion.



In 2018, BIS reviewed 6 applications for deemed exports from Ukrainian nationals, out of a total of 1,007 applications worldwide.

– Approved applications for Ukrainian nationals totaled 5 (83.3% of the total applications), compared to 846 (91.5%) approved applications for all destinations.

Deemed Export Licenses for Ukraine							
	Approved RWA Denied						
2014	7	0	0				
2015	16	0	0				
2016	29	0	0				
2017 11 1 0							
2018	5	1	0				
Source: Commerce U.S. Exports Exporter Support System retrieved on March 5, 2019							