



Bureau of Industry and Security



Support Documentation

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**Outreach and Educational
Services Division**

Support Documentation	SNAP-R and How to US Government Processes your License Application	Export Clearance	Managing your Export Compliance
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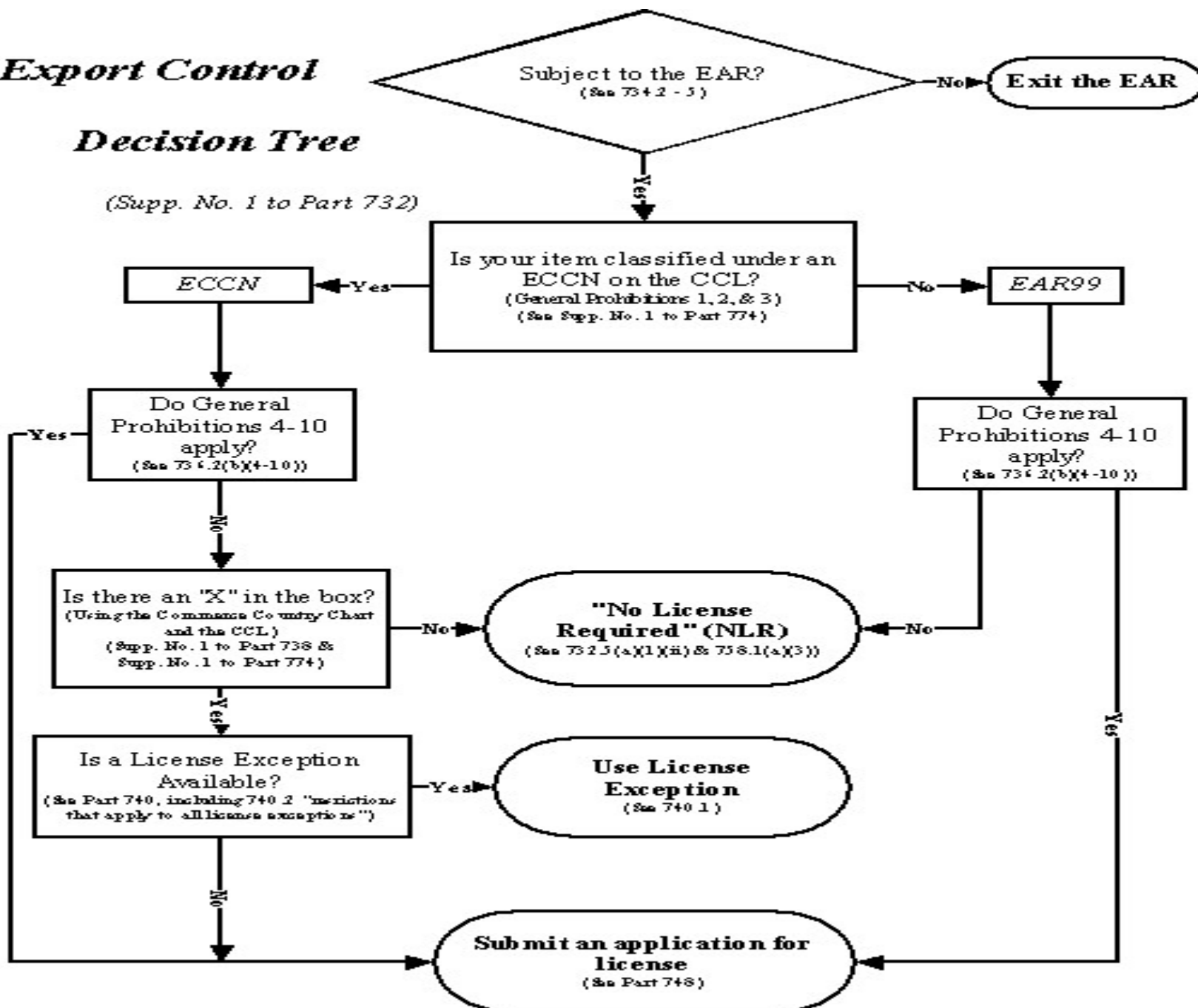
Topics of Discussion

- Who can apply?
- Required support documentation

Export Control

Decision Tree

(Supp. No. 1 to Part 732)





Who may submit a commodity classification? § 748.3

- Any person may submit a commodity classification



Who can apply for an export license?

§ 748.4(a)(1)

- Only a person in the U.S. can apply for a license to export from the United States
- Types of export transactions:
 - Standard export transaction
 - Routed export transaction



The Exporter

Applicant Information*

* Required field

14. CIN (Applicant ID)*

1407672

Applicant*

Company Name

Address Line 1*

123 Mockingbird Lane

Address Line 2

City*

WASHINGTON

State/Province* (Required for US address)

District of Columbia

Postal Code*

20005

Country*

UNITED STATES

EN

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For more Information go to
SNAPR Manual Page 5-18





Standard Export Transactions

§ 748.4(a)(1)

- **Exporter** - U.S. principal party in interest (USPPI)
 - Determine authority to export
 - Obtain license or other authorization
 - Comply with terms and conditions of the authorization
- **Forwarder or other agent**
 - May be hired to perform the first two tasks listed above
 - Does not relieve exporter of compliance for those tasks
 - Is responsible for compliance with the EAR



Routed Export Transaction

§ 748.4(a)(2)

- **Exporter**
 - USPPI or
 - U.S. agent of foreign principal party in interest (FPPI)
 - USPPI must obtain from the FPPI a writing if the FPPI takes responsibility for determining the license requirements for the export
 - agent must obtain written authorization from FPPI



Who can apply for a reexport license?

§ 748.4(a)(3)

- U.S. or foreign principal party in interest
- Other duly authorized U.S. agent of FPPI
 - agent must usually obtain written authorization from FPPI





The Reexporter

Applicant Information

* Required field

14. CIN (Applicant ID)*	1407672
Applicant*	Company Name
Address Line 1*	123 Mockingbird Lane
Address Line 2	
City*	WASHINGTON
State/Province* (Required for US address)	District of Columbia
Postal Code*	20005
Country*	UNITED STATES
EN	

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Page 5-18





What support documentation do I need for my license application?

§748.9

- If you do not meet any of the exemptions under the EAR, your license application may need to be supported by various documents such as:
 - Import Certificate
 - End-User Statement
 - Statement by Ultimate Consignee and Purchaser



When are support documents not required?

§748.9(a)

- For most South and Central American countries (except for ECCNs 0A984, 0A986, 0A987)
- When ultimate consignee or purchaser is government or government agency, other than the PRC
- Software or technology
- Encryption items



When is an Import Certificate required?

§748.9

- Required for:
 - National Security (NS) controlled commodities (except 5A002, 5B002)
 - Countries listed in §748.9(b)(2)
- Issued by importing country's government
 - Part 748, supplement 4
- The threshold dollar amount is \$50,000 in most cases.

Please Remember to Include the Country & Number of the Import Certificate on Block 13 of the License Application



When is an End-User Statement required?

§ 748.10

- For all controlled items to the PRC, *not just NS controlled items*
- The threshold dollar amount is \$50,000 in most cases.
- Issued by the PRC Ministry of Commerce (MOFCOM)

Please remember to include the Country (PRC) & Number of the End-User Statement on Block 13 of the License Application



When is a Statement by Ultimate Consignee and Purchaser required?

§ 748.11

- Use when Import Certificate or End-User Statement not required
- Form BIS 711(available on line) or letter
- Copy submitted with application for D:2, D:3 and D:4 countries





Supporting Documents

Document Checklist	
6. Documents submitted with application [?]	7. Documents on file with applicant [?]
☐ Export Items (BIS-748P-A) [?]	☐ BIS-711
☐ End Users (BIS-748P-B) [?]	☐ Letter of Assurance
☐ BIS-711	☐ Import/End-User Certificate
☐ Import/End-User Certificate	☐ Nuclear Certification
☐ Technical Specification	☐ Other
☐ Letter of Explanation	
☐ Foreign Availability	
☐ Other	
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License Information

For more Information go to
SNAPR Manual Page 5-16





What is the status of my license application?...

- **Accepted**
- **Pending**
 - with one of the agencies:
DoD, DoE, State
- **Returned Without Action (RWA)**
- **Approved / Denied**



Summary: Support Documents

- Only a person in the United States may apply for an export license
- Support document requirements are found in part 748 of the EAR (beginning with §748.9)