OMB Control Number: 0694-0120

Expiration Date: November 30, 2019

Section 232 National Security Investigation: The Effect of Imports of Uranium on the National Security Nuclear Power Generation Sector Survey



SCOPE OF ASSESSMENT

The U.S. Department of Commerce, Bureau of Industry and Security (BIS), Office of Technology Evaluation (OTE), is conducting a survey of the U.S. nuclear power generation sector. The survey results will be used to support an ongoing investigation of the effect of imports of uranium on the national security initiated under Section 232 of the Trade Expansion Act of 1962, as amended.

The principal goal of this survey is to assist the U.S. Department of Commerce in determining whether uranium is being imported into the United States in such quantities or under such circumstances as to threaten to impair the national security. Information collected will include facilities and production data, mergers and acquisitions, joint ventures, trade flows, supply chain networks, sales and demand data, employment information, conditions of domestic and global competition, research and development, and other financial factors. The resulting data will provide the U.S. Department of Commerce detailed nuclear power generation sector information that is otherwise not publicly available and needed to effectively conduct this Section 232 investigation.

RESPONSE TO THIS SURVEY IS REQUIRED BY LAW

A response to this survey is required by law (50 U.S.C. Sec. 4555). Failure to respond can result in a maximum fine of \$10,000, imprisonment of up to one year, or both. Information furnished herewith is deemed confidential and will not be published or disclosed except in accordance with Section 705 of the Defense Production Act of 1950, as amended (50 U.S.C. Sec. 4555). Section 705 prohibits the publication or disclosure of this information unless the President determines that its withholding is contrary to the national defense. Information will not be shared with any non-government entity, other than in aggregate form. The information will be protected pursuant to the appropriate exemptions from disclosure under the Freedom of Information Act (FOIA), should it be the subject of a FOIA request.

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number.

BURDEN ESTIMATE AND REQUEST FOR COMMENT

Public reporting burden for this collection of information is estimated to average 14 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information to BIS Information Collection Officer, Room 6883, Bureau of Industry and Security, U.S. Department of Commerce, Washington, D.C. 20230, and to the Office of Management and Budget, Paperwork Reduction Project (OMB Control No. 0694-0120), Washington, D.C. 20503.

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General Instructions Your organization is required to complete this survey of the U.S. nuclear power generation sector using an Excel template, which can be downloaded from the BIS website: http://www.bis.doc.gov/nuclearoperator If you are unable to download the survey document, at your request, BIS survey support staff will e-mail the Excel survey template directly to you. For your convenience, a PDF version of the survey and required drop-down content is available on the BIS website to aid internal data collection. DO NOT SUBMIT the PDF version of the survey as your response to BIS. Should this occur, your organization will be required to resubmit the survey in the requested Excel format. Respond to every question. Surveys that are not fully completed will be returned for completion. Use the comment boxes to provide any information to supplement responses provided in the survey form. Make sure to record a complete answer in the cell provided, even if the cell does not appear to expand to fit all of the information. DO NOT CUT AND PASTE RESPONSES WITHIN THIS SURVEY OR PASTE IN RESPONSES FROM OUTSIDE THE SURVEY.Survey inputs should be completed by typing in responses or by using a drop-down menu. The use of cut and paste can corrupt the survey template If your survey response is corrupted as a result of cut and paste responses, your survey will be rejected and your organization must mmediately resubmit the survey. Do not disclose any USG classified information in this survey form. Upon completion of the survey, final review, and certification, transmit the survey document via e-mail to: Uranium232@bis.doc.gov Questions related to the survey should be directed to BIS survey support staff at Uranium232@bis.doc.gov E-mail is the preferred method of contact. You may also speak with a member of the BIS survey support staff by calling (202) 482-3800. For questions related to the overall scope of this Section 232 Investigation, contact Uranium232@bis.doc.gov or: Brad Botwin, Director, Industrial Studies Office of Technology Evaluation, BIS, Room 1093 U.S. Department of Commerce 1401 Constitution Avenue, NW Washington, DC 20230 DO NOT submit completed surveys to Mr. Botwin's postal or personal e-mail address. All surveys must be submitted electronically to: Uranium232@bis.doc.gov BUSINESS CONFIDENTIAL - Per Section 705(d) of the Defense Production Act

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	Definitions
Term	Definition
Applied Research	A systematic study to gain knowledge or understanding necessary to determine the means by which a recognized and specific need may be met. This activity includes work leading to the production of useful materials, devices, and systems or methods, including design, development, and improvement of prototypes and new processes.
Authorizing Official	An executive officer of the organization or business unit or another individual who has the authority to execute this survey on behalf of the organization.
Basic Research	A systematic, scientific study directed toward greater knowledge or understanding of the fundamental aspects of phenomena and of observable facts.
Boiling Water Reactor (BWR)	A common nuclear power reactor design in which water flows upward through the core, where it is heated by fission and allowed to boil in the reactor vessel. The resulting steam drives turbines, which activate generators to produce electrical power.
Brownfield Site	A site suitable for industiral development or conversion to another type of generation facility. This is also defined uner Public Law 107-118 Section 211 as "a property; the expansion, redevelopment, or use of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant."
Capital Expenditures	Investments made by an organization in buildings, equipment, property, and systems where the expense is depreciated. This does not include expenditures for consumable materials, other operating expenses, and salaries associated with normal business operations.
Customer	Any organization (external or internal entity) for which your organization manufactures/processes any product comprised of, or containing, uranium in any form.
Defense-related Activities	Any product or service that your organization produces that is ultimately used by the U.S. government for defense purposes, whether by the armed services, the Department of Defense, or any other U.S. government entity.
Depleted Uranium	Uranium in which the precentage fraction by weight of U-235 is less than 0.711 percent.

Development	The design, simulation, and testing of a prototype, including experimental software or hardware systems, to validate technological feasibility or concept of operation in order to reduce technological risk, or provide test systems prior to production approval.
Enriched Uranium	Includes enriched uranium oxide, enriched uranium hexafluoride, and other enriched uranium. Uranium enriched in U-235 and its compounds: alloys, dispersions (including cermets), ceramic products, and mixtures containing uranium enriched in U-235.
Exports	Shipments to destinations outside the United States, including shipments to Canada and Mexico.
Facility	A building or the minimum complex of buildings or parts of buildings in which an organization operates to serve a particular function, producing revenue, and incurring costs for the company. A facility may produce an item of tangible or intangible property or may perform a service. It may encompass a floor or group of floors within a building, a single building, or a group of buildings or structures. Often, a facility is a group of related locations at which organization employees work, together constituting a profit-and-loss center for the company, and it may be identified by a unique DUNS number.
Foreign Corrupt Practices Act of 1977 (FCPA) 15 U.S.C. §§ 78dd-1	The Foreign Corrupt Practices Act (FCPA), enacted in 1977, generally prohibits the payment of bribes to foreign officials to assist in obtaining or retaining business. The FCPA can apply to prohibited conduct anywhere in the world and extends to publicly traded companies and their officers, directors, employees, stockholders, and agents. Agents can include third party agents, consultants, distributors, joint-venture partners, and others.
Fuel Assemblies	A structured group of fuel rods. These are long, slender, metal tubes containing pellets of fissionable material, which provide fuel for nuclear reactors.

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			1:	: Organization	Information					
	Provide the following information for your	organization								
	Organization Name									
	Street Address									
	City									
Α.	State									
Α.	ZIP Code									
	Location of Global Headquarters									
	U.S. Point of Contact Name									
	U.S. Point of Contact Email									
	U.S. Point of Contact Phone									
	Is this organization owned, in whole or in part, by any private or government entity? Indicate Yes/No, then identify the entities below, if									
	applicable. List entities with at least 5% ow	nership.								
	Entity Name	Global Headq	uarters Street	Global Head	quarters City	Global Headquarters	Global Headquarters		Ownership %	
	Entity Name	Add	ress	Global Headquarters City		State/Province	Countr	У	Ownership /0	
В.										
Б.										
	At the global headquarters level, identify th	ne total number	r of nuclear pov	wer generation	and nuclear p	ower generation-related rese	arch and develop	ment facilit	ies that your	
	organization currently operates inside and				·		·		·	
_	Act	ivity			Num	ber of U.S. Facilities	Number o	f Non-U.S.	Facilities	
C.	Nuclear Power Generation	TVICY			Num	iber of 0.3. Facilities	Number o	1 11011-0.5.	acinties	
	Research & Development									
	Other		(specify)							
	- Control		(Specify)							
	Comments.									
	Comments:									
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			2: Merge	rs, Acquisitions, E	Divestitures, and Joint Ventures				
From 2014 - 2018, record the total nu development in which your organizat		ecquisitions, and divestitures re	elated to <i>nuclear power gei</i>	neration and/or n	uclear power generation-related resec	arch and			
Organization or Partnership Entity Name	Type of Activity	% of Shares Held by Partner Organization	Country Headquarters	Year Initiated	Primary Work Scope	Primary Purpose of Relationship	Explain		
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From 2014 - 2018, record the total no research and development, including				ar power generat	ion and nuclear power generation-rela	ated			
Organization or JV Entity Name	Type of JV	% of Shares Held by JV Organization	Country Headquarters	Year Initiated	Primary Work Scope	Primary Purpose of Relationship	Explain		
2									
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			rships related to nuclear fu	el production or r	nuclear fuel-related research and deve	lopment,			
Organization or JV Entity Name	Type of JV	% of Shares Held by JV Organization	Country Headquarters	Year Initiated	Primary Work Scope	Primary Purpose of Relationship	Explain		
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Comments:									
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Previous Page									3a: Facility Infor	mation							Next Page
Identify the total number of facilities th	at your organization o	ower in the United	States involved in t	the generation of r	nuclear power				U.S. Faciliti	es							
List your organization's nuclear power p	generation facilities lo	cated in the United	d States, identifying	each facility's nan	ne, city, state, operati	ng status, reactor inf	formation, and any expect	ted change in operations	(e.g. expansion, worl	ker layoffs, shutdown, etc.) from 2019-2023. If the	acility operated in 2018,	report the 2018 product	ion volume in megawattho	urs (MWh). Even if a	a facility is closed, fill out all infor	nation possible.
U.S. Facility Name	City	State	Operating Status	Merchant vs. Regulated	Number of Reactors	2018 Total Production Volume (MWh)	Amount of U3O8 Need to Run Facility Per Year at Full Capacity (Pounds)	Amount of U3O8 Needed To Run Facility Per Year at 90% Capacity (Pounds)	Reactor Unit No.	Status of Reactor	Reactor Type	Reactor Vendor	Number of Current Active Fuel Assembly Suppliers	Number of Fuel Assemblies Required to Operate Per Year	Licensed MWe	Expected Change 2019-2023	Explanation of Change, If Applicable
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If any of your U.S. facilities are schedule close in the 2019-2023 period, explain t	ed to close or may the circumstances of																
this action.									Non-U.S. Faci	lities							
Identify the total number of facilities th	at your organization of	owns outside the U	Inited States involve	ed in the generation	on of nuclear power.												
List your organization's nuclear power p	generation facilities lo	cated outside the I	United States, ident	tifying each facility	's name, city, state, o	perating status, react	tor information, and any e	expected change in oper	ations (e.g. expansion	, worker layoffs, shutdowr	n, etc.) from 2019-2023. I	f the facility was operation	ng, report the 2018 produ	ction volume in megawatt	hours (MWh). Even	if a facility is closed, fill out all inf	ormation possible.
Non-U.S. Facility Name	City	Country	Operating Status	Merchant vs. Regulated	Number of Reactors	2018 Total Production Volume (MWh)	Amount of U3O8 needed to run facility per year (Pounds)	Amount of U3O8 Needed To Run Facility Per Year at 90% Capacity (Pounds)	Reactor Unit No.	Status of Reactor	Reactor Type	Reactor Vendor	Number of Current Active Fuel Assembly Suppliers	Number of Fuel Assemblies Required to Operate	Licensed MWe	Expected Change 2019-2023	Explanation of Change, If Applicable
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if any of your non-U.S. facilities are sch may close in the 2019-2023 period, exp circumstances of this action.														
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3b: Facility Inven

Provide all U.S. and non-U.S. inventories held directly or indirectly by you for the 2014 to 2018 period, current as of the end of calendar year 2018.

U.S. Facilities

For any facility in the U.S., whether that facility is owned by you or another organization, indicate which forms of uranium your organization maintains in inventory, and the amounts of each in inventory for the 2014 to 2018 period, utilizing the provided uranium measurements. Inventory includes uranium held in your organization's account at a converter, enricher, fuel fabricator, or other facility.

Facility Location (City, State)	Facility Owner	Operating Status	Types of Uranium in Inventory	-Yes/No-	2014	2015	2016	2017	2018
			Uranium Ore and Concentrates (Pounds U3O8)						
			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
			Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Fuel Assemblies (Finished Units)						
			Uranium Ore and Concentrates (Pounds U3O8)						
			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
			Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Fuel Assemblies (Finished Units)						
			Uranium Ore and Concentrates (Pounds U308)						
			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
			Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Fuel Assemblies (Finished Units)						
			Uranium Ore and Concentrates (Pounds U3O8)						
			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
			Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Fuel Assemblies (Finished Units)						
			Uranium Ore and Concentrates (Pounds U3O8)						
			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
			Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Fuel Assemblies (Finished Units)						
			Uranium Ore and Concentrates (Pounds U308)						
			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
			Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
		•	Fuel Assemblies (Finished Units)						
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			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
			Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Fuel Assemblies (Finished Units)						
			Uranium Ore and Concentrates (Pounds U3O8)						
			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
			Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
		•	Fuel Assemblies (Finished Units)						
			Uranium Ore and Concentrates (Pounds U308)						
			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
			Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
ı			Fuel Assemblies (Finished Units)						
			Uranium Ore and Concentrates (Pounds U308)						
			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
			Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)				Į		

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			Uranium Ore and Concentrates (Pounds U3O8)					
			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)					
11			Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)					
			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)					
			Fuel Assemblies (Finished Units)					
			Uranium Ore and Concentrates (Pounds U308)					
			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)					
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			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)					
			Fuel Assemblies (Finished Units)					
			Uranium Ore and Concentrates (Pounds U308)					
			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)					
13			Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)					
			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)					
			Fuel Assemblies (Finished Units)					
			Uranium Ore and Concentrates (Pounds U3O8)					
			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)					
14			Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)					
			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)					
			Fuel Assemblies (Finished Units)					
			Uranium Ore and Concentrates (Pounds U3O8)					
			Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)					
15			Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)					
			Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)					
			Fuel Assemblies (Finished Units)					
			Non-U.S. Facilitie	es				
For each non-LLS location, whether th	at facility is owned by you	or another organization			ne 2014 to 2018 period u	tilizing the provided urani	ım measurements Invento	ry includes uranium held
			Non-U.S. Facilitie indicate which forms of uranium your organization maintains in inventory,		ne 2014 to 2018 period, u	tilizing the provided urani	um measurements. Invento	ry includes uranium held
in your organization's account at a con	verter, enricher, fuel fabric	cator, or other facility.	indicate which forms of uranium your organization maintains in inventory,	and the amounts of each in inventory for t		tilizing the provided uranio		ry includes uranium held
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in your organization's account at a con	verter, enricher, fuel fabric	cator, or other facility.	indicate which forms of uranium your organization maintains in inventory,	and the amounts of each in inventory for t				
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Depleted Uranium (Oxide, Hexafluoride, Other) (KgU) Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)

Uranium Ore and Concentrates (Pounds U308)
Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)
Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)
Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)

Fuel Assemblies (Finished Units)

Fuel Assemblies (Finished Units)

	Uranium Ore and Concentrates (Pounds U3O8)	1 1	I	1	1		
	Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
7	Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Fuel Assemblies (Finished Units)						
	Uranium Ore and Concentrates (Pounds U3O8)						
	Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
0	Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
0	Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Fuel Assemblies (Finished Units)						
	Uranium Ore and Concentrates (Pounds U3O8)						
	Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
	Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
3	Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Fuel Assemblies (Finished Units)						
	Uranium Ore and Concentrates (Pounds U3O8)						
	Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)			+	+		
10	Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Fuel Assemblies (Finished Units)						
	Uranium Ore and Concentrates (Pounds U3O8)						
	Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
11	Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Fuel Assemblies (Finished Units)						
	Uranium Ore and Concentrates (Pounds U3O8)						
	Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
12	Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Fuel Assemblies (Finished Units)						
	Uranium Ore and Concentrates (Pounds U3O8)						
	Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
13	Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Fuel Assemblies (Finished Units)						
	Uranium Ore and Concentrates (Pounds U3O8)						
	Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)				İ		
14	Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)				İ		
	Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Fuel Assemblies (Finished Units)						
	Uranium Ore and Concentrates (Pounds U3O8)						
	Uranium Compounds (Oxide, Hexafluoride, Other) (KgU)						
15	Depleted Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Enriched Uranium (Oxide, Hexafluoride, Other) (KgU)						
	Fuel Assemblies (Finished Units)						
Comments:							
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	3c: Facility Refueling Cycle

Provide the following information pertaining to the reloading/refueling cycle for each of your organization's facilities, current as of the end of calendar year 2018. If your organization operates two different reactor types at the same facility, separately report refueling information for each reactor type.

	Fresh Fuel Batch Size	Uranium Contained in	Percentage of Total				Fuel Cycle	Costs as Percentage of Total Facili	ity Operating Costs	
U.S. Facility Location (City, State)		Fresh Fuel Batch (Pounds U308 Equivalent)	Reactor Assemblies Replaced During Refuel	Refueling Cycle Length (Months)	Refueling Outage Length (Days)	U3O8 Acquisition (Mining, Milling)	Conversion Services/UF6 Acquistion	Enrichment Services/EUP Acquistion	Fuel Fabrication Services	Aggregate Fuel Cycle Expenses as Percentage of Total Facility Operating Costs
1										
2										
4										
5										
A. 6										
7										
8										
9										
10										
12										
13										
14										
15										
since 2014, has your organization considere hanges in a facility's refueling cycle (e.g. ler cycle)?			If yes, describe:							
Describe your organization's typical process uel.	for procuring nuclear									
Comments:										
				BUIGINIEGO	CONFIDENTIAL D C .:	705(d) of the Defense Productio				

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	3d: Changes in Facility Operations, 1999-2018	

Identify any U.S. nuclear power generation facility closings, relocations, contractions, expansions, corporate acquisitions or consolidations, or other major changes in operations (report as many as applicable). For each change, provide the reasons for the change in operations (e.g., loss of market share to imports, loss/gain of market share from domestic competition, increasing/declining demand, low/high profitability, firm restructuring), and megawatt hours of nuclear power impacted, as well as number of full-time-equivalent (FTE) employees impacted. Denote reductions by placing the amount in brackets (e.g., "[5000]"). If a single facility has gone through multiple changes, list the facility on multiple lines and identify each separately.

	Facility Name, Location (City, State)	Type of Change	Date of Change	Reason for Change	Annual MWh Capacity	Annual MWh Impacted	Number of FTEs Impacted	Explanation
1 2 3 4								
2								
3								
5								
6								
7								
8								
9								
10								
11 12 13 14								
12								
13								
. 14								
15 16 17 18								
16								
17								
18								*
19 20								
20								
21								
22								
23								
23 24 25								
25								
26								
27								
28 29 30								
29								
30								

wer the following questions about facility changes in Section B and C.

If one of your organization's facilities was on standby and had not discharged its operator license, OR has discharged its operator license, how long would it take, if possible, to fully restart operations at that facility? Indicate the factors that might inhibit restarting operations and the degree of impact for each factor. Estimate the total costs associated with each factor, and then explain your reasoning for your choices.

			Estimated Time to Restart (In	Estimated Cost to Restart	Factors Inhibiting R	estart	Estimated Cost of	
	Location (City, State)	Status	Years)	(in Thousands USD)	Factor	Degree of Impact	Each Factor (in Thousands USD)	Explain
1								
1				-				
2								
			l					
3								
-								
4				-				
		-			-			
5								
		-						
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	12						
	12						
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	13						
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	14						
		·-					
	15						
	Describe the costs associated with ma standby facility.	intaining a facility in standby, and estimate the tot	al costs involved in maintaining a				
С	Would your organization opt to maint	ain a facility in standby?			If yes, explain.		
C		ors associated with maintaining a "brownfield" site	2.				
	Describe the costs and regulatory fact	ors associated with building a "greenfield" facility.					
		Comments:					
			RI	ISINESS CONFIDENTIAL - D	er Section 705(d) of the Defense Product	ion Act	

riev	ous Pa	<u> </u>					4: Production	Capacity					<u>Next Page</u>
Prov	de the	facility-level information regardi	ng production capacity and CO2	offset below.									
										Fuel Cycle Cost a	s % of MWh Cost		
		Facility Name	Facility Location	Reactor Type	Average Annual Production	Average Annual Production	Average Capacity Utilization		Uranium				Annual Estimated Facility CO2 Offset (Tons)
					2014-2018 (MWh)	Capacity 2014-2018 (MWh)	2014-2018 (%)	Capacity and Annual Production, If Applicable	Concentrate (U3O8)	UF6 Conversion	Enrichment	Fuel Fabrication	, , , , , , , , , , , , , , , , , , , ,
	1												
	2												
	4												
	5												
A.	6												
	8												
	9												
	10												
	12												
	13 14												
	15												
	,	re your facilities capable of load	following (e.g. ramping up and										
	1 0	own electric output depending o	n demand)? Explain.		If yes, explain.								
В.													
	2	Vhat changes to supply factors we hange in MWh cost? Explain.	ould contribute the most to										
		Comments:											
Ш						BUSINESS	CONFIDENTIAL - Per Section 70	D5(d) of the Defense Production Act					

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								5: Permits					
	Pro	vide the current permit information t	for your facilities below for both	the state and federal level. Inclu	ide permits for environmen	ital and safety aspects.							
		Location (City, State)	Permit Type	Issuing Agency	Cost of Permit	Average Length (in Years) of Processing Time	Original Permit Date	Original Permit Expiration Date	Number of Renewal Applications	Average Renewal Application Length (in Years)	Expiration Date of Current Permit	Plans to Renew Current Permit	Explanation
	1												
	2												
	4												
A.	5		+	+		+							
	7												
	8												
	9												
	10												
	11												
	12												
	13												
	14												
	15												
		Has your organization had difficult operation? If yes, explain below.	y obtaining permits for										
	1												
		Have your permitting costs increase explain below.	sed in the last ten years? If yes,										
В.	2												
		If you indicated that your organiza	ition does not plan to renew a pe	ermit, describe the factors that h	ave influenced those decisi	ions below.							
	3												
		Does your organization have any s	uggestions that would help impr	rove the permitting process?									
	4												
		Comments:											
						BUSI	NESS CONFIDENTIAL - Per Se	ction 705(d) of the Defer	se Production Act				

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				ancials			
Prov	ide t	the following financial line items for your org	anization's nuclear	power generatio	n-related U.S. oper	ations below for t	he 2014 to 2018
perio	od.						
		Income Statement (Select Line Items)	Rec	ord \$ in Thousand	ls, e.g. \$12,000.00	= survey input of	\$12
		medine statement (select line items)	2014	2015	2016	2017	2018
	A.	Net Sales (and other revenue)					
1	B.	Cost of Goods Sold					
	C.	Total Operating Income (Loss)					
	D.	Earnings Before Interest and Taxes					
	E.	Net Income					
		Balance Sheet (Select Line Items)	Rec	ord \$ in Thousand	ls, e.g. \$12,000.00	= survey input of	\$12
		Balance sheet (select Line items)	2014	2015	2016	2017	2018
	A.	Cash					
	В.	Inventories					
2	C.	Total Current Assets					
2	D.	Total Assets					
	E.	Total Current Liabilities					
	F.	Total Liabilities					
	G.	Retained Earnings					
	Н.	Total Owner's Equity					
Note	e: To	tal Assets must equal Total Liabilities plus To	tal Owner's Equity				
			2014	2015	2016	2017	2018
3		Total Revenue per MWh					
		Total Operating Costs per MWh					
			2014	2015	2016	2017	2018
4		Federal Taxes Paid					
		State Taxes Paid					
		Local Taxes Paid I					
		Comments:					
		BUSINESS CONFIDEN	NTIAL - Per Section	705(d) of the De	fense Production <i>E</i>	Act	

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			7: Capital Expe	enditures			
Rec	ord your organization's nuclear power gene	eration-related capital expenditur	res corresponding to the select	categories below for the 2014 to	2018 period.		
	Capital Expenditure	e Activity Type		Record \$ in Thousand	s, e.g. \$12,000.00 = s	urvey input of \$12	
			2014	2015	2016	2017	2018
Α	Total Capital Expenditures						
1	Machinery, Equipment, and Vehicles [as a	1 % of A]					
2	IT, Computers, Software [as a % of A]						
3	Land, Buildings, and Leasehold Improvement	ents [as a % of A]					
4	Other (Specify) [as a % of A]						
5	Other (Specify) [as a % of A]						
Line	s 1 through 5 must total 100%		0%	0%	0%	0%	0%
	For the below categories, indicate whethe been affecting changes in your organization competition, and changing fuel costs.	- · · · · · · · · · · · · · · · · · · ·					•
В			Yes/No	If Yes, Type of Change		Explain	
	1 Machinery, Equipment, and Vehicles						
	2 IT, Computers, Software						
	3 Land, Buildings, and Leasehold Improv	ements					
	4 Other (Specify)						
	5 Other (Specify)						
	Comments:						
		BUSINESS	CONFIDENTIAL - Per Section 70	05(d) of the Defense Production	Act		

Previo	ous Page		8: Resea	rch & Development			<u>Next Page</u>
A. r	las your organization conducted no esearch and development (R&D) ir	uclear power generation-related n the past ten years?		If no, proceed to Section 9			
Recor	rd your organization's total R&D do	ollar expenditures and type of R&	D expenditure for the 2014	to 2018 period.			
			204.4		ousands, e.g. \$12,000.00 = surve		2040
-	4 T-t-1 D0 D 5 dit		2014	2015	2016	2017	2018
	1 Total R&D Expenditures						
В. –	2 Basic Research [as a % of B1]						
	3 Applied Research [as a % of B1	1					
	4 Product/Process Development	[as a % of B1]					
	5 Total of 2 - 4 [must equal 100%	6]	0%	0%	0%	0%	0%
Identi	ify your organization's R&D funding	g sources, by percent total of R&	D dollars sourced.				
					ousands, e.g. \$12,000.00 = surve		
_	- T - 1000 F 1: C		2014	2015	2016	2017	2018
_	1 Total R&D Funding Sources	2.0/ of C1]					
	2 Internal/Self-Funded/IRAD [as3 Total Federal Government [as a						
	4 Total State and Local Governm						
_	5 Universities - Public and Private						
_	6 U.S. Industry, Venture Capital,	-					
_	7 Non-U.S. Investors (as a % of C						
I -	8 Other	(specify)					
	9 Total of 2 - 8 [must equal 100%		0%	0%	0%	0%	0%
	For 2014 to 2018, did your organization experience constraints (for example, inadequate revenue) on U.S. R&D activities?		If yes, explain and identify additional R&D activities that would occur absent those constraints.	<i>57</i> 0	0,0	0.0	o,
	s your organization currently workinctivities?	ing with any non-U.S. partners w	ith respect to R&D		If yes, complete the informatio	n below:	
Е	Partner Organization	Headquarters Country	Partnership Start Date	Goal of Partnership	Reason for choosing Partner	Percent of R&I	D Expenditures
	1						
_	2						
_	3 4						
	5						
	Comments:						
		RUSIA	JESS CONFIDENTIAL - Per Se	ection 705(d) of the Defens	se Production Act		

Freedows Page						2. Deliveries,	NII WIE								Need Pa
identify all purchases of uranium products or contracts for fuel cycle services made by your organization with delivery date	s between 2004-2018. Additionally, identify all contract fulfilling	nents for fuel cycle services anticipated	for the 2004-2018 period. For the	Deliveries as Percentages of Fuel Capi	ital Budget columns- if a given contrac	t did not cover one of the years in th		pplicable column(s). (e.g. if s	contract only had deliveries	for 2014 through 2016, but	none in 2017 or 2018, ente	NA in the 2017 and 2018 co	llumns. "Open Origin" contro	acts are defined as contracts	which permit uranium supplied under the contract to be sourced from any country.
If your organization did not receive any deliveries of this product in the 2014-2008 period, please choose "Not Applicable" is the space provided.						uranun	One prounds)								
														Deliveries as Percentag	es of Fuel Capital Budget
Seller Name Country of Seller Number of Deliveries Date of Contract Type of C	ntract Pricing Mechanism Contr	ract Completed? Years Remaining Contract (If Applica	on Date of Contract Renegoristion (if Applicable)	Reason for Contract Renegotiation (If Applicable)	Open Origin	Country of USOS Origin	Price Per Unit (USD)	Quantity Delivered	Value of Quantity Delivered (thousands USD)	Percentage of 2014 Fuel Capital Budget (Pounds USOB Equivalent)	Percentage of 2014 Fuel Capital Budget (Thousands USD)	Percentage of 2005 Fuel Capital Budget (Pounds U3O8 Equivalent)	Percentage of 2015 Fuel Capital Budget (Thousands USD)	Percentage of 2016 Fuel Capital Budget (Pounds U308 Equivalent)	Percentage of 2015 Fuel Capital Budger (Thousands USD) Percentage of 2017 Fuel Capital Budger (Thousands USD) Capital Budger (Pounds USD) USD) Pounds USD Equivalent) (Thousands USD) (Thousands USD)
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														Deliveries as Percentag	es of Fuel Capital Budget
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			Applicable)							Capital Budget (Pounds USOB Equivalent)	Capital Budget (Thousands USD)	Capital Budget (Pounds U3OB Equivalent)	Capital Budget (Thousands USD)	Capital Budget (Pounds U308 Equivalent)	Personage of 2005 faul Assuredage of 2017 faul Personage of 2017 faul Existented Personage of 2017 faul Capital Budger (Thousens) LUED Assured Capital Budger (Pounds) LUED (Sold Selected Pounds)
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	ntract Pricing Mechanism Contr	Years Remaining Contract (VI Applica	on Date of Contract Recegolation (f Applicable)	Reason for Contract Renegotiation (If Applicable)	Open Orligin	Country of U3OS Origin	Price Per List (USD)	Quantity Delivered	Value of Quantity Delivered (thousands USD)	Percentage of 2014 Fuel Capital Budget (Pounds U3O8 Equivalent)	Percentage of 2014 Fuel Capital Budget (Thousands USD)	Percentage of 2005 Fuel Capital Budget (Pounds U3DB Equivalent)	Percentage of 2015 Fuel Capital Budget (Thousands USD)		
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identify all purchases of uranium products or contracts for fuel cycle services made by your organization with delivery dates between	n 2009 and 2023. Additionally, identify all contract fulfillments for fuel cycle services anticipated for the 2019-2023 period. For the Deliveries as Estimate	23. Fature Collecting, 2013-2022 sted Percentages of Fuel Capital Budget columns- if a given contract did not cover one of the years in the 2009-2022 period, enster NA in the applicable column(q), (e.g., if a contract only had	deliveries for 2019 through 2021, but none in 2022 and 2022, exeter NA in the 2022 and 2022 columns,) "Open Origin" contracts are defined as contracts which permit unanium supplied under the contract to be sourced from any country.
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If your organization does not anticipate any deliveries of this product in the 2019-2023 period, please choose "Not Applicable" in the space provided.			
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If your organization does not as Applicable" in the space provid-	nticipate any deliverie	s of this product in the	1019-2023 period, plea	se choose "Not							Depleted Uranium-Flouride	rs (Pounds U3O8 Equivalent)												
																			Deliveries as Percentago	ns of Fuel Capital Budget				
Seller Name	Country of Selle Headquarters	Number of Deliver Per Year	Bate of Contract Signature	Type of Contract	Pricing Mechanism	Contract Completed	Years Remaining on Contract (If Applicable)	Date of Contract Renegotiation (if Applicable)	Reason for Contract Renegotiation (If Applicable)	Open Origin	Country of USOS Origin	Price Per Unit (USD)	Quantity Delivered Per Calendar Year	Value of Quantity Delivered (Thousands USD)	Percentage of 2014 Fuel Capital Budget (Pounds U308 Equivalent)	Percentage of 2004 Fuel Capital Budget (Thousands USD)	Percentage of 2015 Fuel Capital Budget (Pounds U308 Equivalent)	Percentage of 2015 Fuel Capital Budget (Thousands USD)	Percentage of 2016 Fuel Capital Budget (Pounds USOS Equivalent)	Percentage of 2016 Fuel Capital Budget (Thousand USD)	Percentage of 2017 Fuel Capital Budget (Pounds U308 Equivalent)	Percentage of 2017 Fuel Capital Budget (Thousands USD)	Estimated Percentage of 2008 Fuel Capital Budget (Pounds U308 Equivalent)	Estimated Percentage of 2018 Fuel Capital Budget (Thousands USD)
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Seller Name	Country of Selle Headquarters	Number of Deliver Per Year	s Date of Contract Signature	Type of Contract	Pricing Mechanism	Contract Completed	Years Remaining on Contract (If Applicable)	Date of Contract Renegotiation (if Applicable)	Reason for Contract Renegotiation ()f Applicable)	Open Origin	Country of USOS Origin	Price Per Unit (USD)	Quantity Delivered Per Calendar Year	Value of Quantity Delivered (Thousands USD)	Percentage of 2014 Fuel Capital Budget (Pounds	Percentage of 2004 Fuel S Capital Budget (Thousands USD)	Percentage of 2015 Fuel Capital Budget (Pounds	Percentage of 2015 Fuel Capital Budget (Thousands	Percentage of 2016 Fuel Capital Budget (Pounds	Percentage of 2016 Fuel Capital Budget (Thousand	Percentage of 2017 Fuel Capital Budget (Pounds	Percentage of 2017 Fuel Capital Budget (Thousands	Estimated Percentage of 2018 Fuel Capital Budget	Estimated Percentage of 2018 Fuel Capital Budget
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											Depleted Uranium- Metal	(Pounds USOR Equivalent)												
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Seller Name	Country of Selle	r Number of Deliver Per Year	s Date of Contract	Type of Contract	Pricing Mechanism	Contract Commission of	Years Remaining on	Date of Contract Renegotiation (if Applicable)	Reason for Contract Renegotiation () f	Open Origin	Country of USOS Origin	Price Per Unit (USD)	Quantity Delivered Per Calendar Year	Value of Quantity					Deliveries as Percentage					
2001.0210	Headquarters	Per Year	Signature	Type of Contract	Pinning Merchanism	Company Company	Contract (If Applicable)	Applicable)	Reason for Contract Renegotiation (If Applicable)	Opin Origin	Country or Orion Origin	PILEPHI GIR (GAL)	Calendar Year	Delivered (Thousands USD)) Percentage of 2016 Fuel Capital Budget (Pounds U308 Equivalent)	Percentage of 2004 Fuel Capital Budget (Thousands USD)	Percentage of 2015 Fuel Capital Budget (Pounds USOB Equivalent)	Percentage of 2015 Fuel Capital Budget (Thousands USD)	Percentage of 2016 Fuel Capital Budget (Pounds U308 Equivalent)	Percentage of 2016 Fuel Capital Budget (Thousand USD)	Percentage of 2017 Fuel Capital Budget (Pounds USOS Equivalent)	Percentage of 2017 Fuel Capital Budget (Thousands USD)	Estimated Percentage of 2008 Fuel Capital Budget (Pounds USOB Equivalent)	Estimated Percentage of 2018 Fuel Capital Budget (Thousands USD)
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Seller Name	Country of Selle Headquarters	Number of Deliver Per Year	s Date of Contract Signature	Type of Contract	Pricing Mechanism	Contract Completed	Years Remaining on Contract (If Applicable)	Date of Contract Renegotiation (if Applicable)	Reason for Contract Renegotiation () f Applicable)	Open Origin	Country of USOS Origin	Price Per Unit (USD)	Quantity Delivered Per Calendar Year	Value of Quantity Delivered (Thousands USD)	Percentage of 2014 Fuel Capital Budget (Pounds	Percentage of 2004 Fuel S Capital Rudget (Thousands USD)	Percentage of 2015 Fuel Capital Budget (Pounds	Percentage of 2015 Fuel Capital Budget (Thousands	Percentage of 2016 Fuel Capital Budget (Founds	Percentage of 2016 Fuel Capital Budget (Thousand	Percentage of 2017 Fuel Capital Budget (Founds	Percentage of 2017 Fuel Capital Budget (Thousands	Estimated Percentage of 2008 Fuel Capital Budget	Estimated Percentage of 2018 Fuel Capital Budget
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										Enriched Uranium Hexaflour	ride (Pounds U 308 Equivalent)												
Tyour organization does not anticipate any opplicable" in the space provided.	any deliveries of thi	is product in the 2019-2023 period, pl	use choose "Not																				
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	and the same of the	to according to the NAM WAY accorded and		1						Enriched Uranium - Othe	r (Pounds U3O8 Equivalent)												
our organization does not anticipate any o plicable" in the space provided.	any Deliver et di Ui	n product in the 2017-2027 period, pr	an citator no.																				
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Seller Name Country Headq	ntry of Seller Nu radquarters	umber of Deliveries Date of Contrac Per Year Signature	Type of Contract	Pricing Mechanism	Contract Completed	Years Remaining on Contract (If Applicable)	Date of Contract Renegotiation (if Applicable)	Reason for Contract Renegotiation (If Applicable)	Open Origin	Country of USOS Origin	Price Per Unit (USD)	Quantity Delivered Per Calendar Year	Value of Quantity Delivered (Thousands USE	Percentage of 2004 Fu Capital Budget (Pound	uel Percentage of 2004 Fuel ds Capital Budget (Thousand USD)	Percentage of 2015 Fuel Capital Budget (Pounds	Percentage of 2015 Fuel Capital Budget (Thousand	Percentage of 2016 Fuel Capital Budget (Pounds	Percentage of 2016 Fuel Capital Budget (Thousands	Percentage of 2017 Fuel Capital Budget (Pounds	Percentage of 2017 Fuel Capital Budget (Thousands	Estimated Percentage of 2008 Fuel Capital Budget	f Estimated Perc t 2018 Fuel Capit
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f your organization does not anticipate any o applicable" in the space provided.	any deliveries of thi	is product in the 2019-2023 period, pl	use choose "Not							Constitution	an arrang												
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PRESE	iadquarters	Her Year Signature				Contract (if Applicable)	Applicable)	Аррасабия)				Calendar Year	Deswered (Inculands Use	Capital Budget (Pound U308 Equivalent)	Percentage of 2004 Fuel ds Capital Budget (Thousand USD)	Percentage of 2015 Fuel Capital Budget (Pounds U308 Equivalent)	Percentage of 2015 Fuel Capital Budget (Thousand USD)	Percentage of 2016 Fuel Is Capital Budget (Founds U308 Equivalent)	Percentage of 2016 Fuel Capital Budget (Thousands USD)	Percentage of 2017 Fuel Capital Budget (Pounds U3OS Equivalent)	Percentage of 2017 Fuel Capital Budget (Thousands USD)	Estimated Percentage of 2008 Fuel Capital Budget (Pounds USOB Equivalent	f Estimated Perc e 2018 Fuel Capit t) (Thousands
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your organization does not anticipate any opplicable" in the space provided.	any deliveries of thi	is product in the 2019-2023 period, pl	use choose "Not															Deliveries as Percentag	es of Fuel Capital Budget				
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				Pricing Mechanism	Contract Completed	Years Remaining on Contract (If Applicable)	Date of Contract Renegotiation (if Applicable)	Reason for Contract Renegotiation (If Applicable)	Open Origin	Country of USIOS Origin	Price Per Linit (USD)	Quantity Delivered Per Calendar Year	Value of Quantity Delivered (Thousands USC	D) Percentage of 2014 Fu Capital Budget (Found U308 Equivalent)	percentage of 2004 Fuel ds Capital Budget (Thousand USD)	Percentage of 2015 Fuel Capital Budget (Pounds U208 Equivalent)	Percentage of 2015 Fuel Capital Budget (Thousand USD)			Percentage of 2017 Fuel Capital Budget (Founds U208 Equivalent)	Percentage of 2017 Fuel Capital Budget (Thousands USD)	Estimated Percentage of 2008 Fuel Capital Budget (Founds U308 Equivalent	f Estimated Pen t 2018 Fuel Capi (Thousand
				Pricing Mechanism	Contract Completed	Years Remaining on Contract (If Applicable)	Date of Contract Renegotiation (if Applicable)	Reason for Contract Renegotiation () f Applicable)	Open Origin	Country of U308 Origin	Price Per Linit (USD)	Quantity Delivered Per Calendar Year	Value of Quantity Delivered (Thousands USZ	20) Percentage of 2004 Fu Capital Budget (Pound U308 Equivalent)	Percentage of 2004 Fuel Cupital Budget (Thousand USD)	Peccetage of 2015 Fuel Capital Budget (Pounds USOS Equivalent)	Percentage of 2015 Fuel Capital Budget (Thousand USD)			Percentage of 2017 Fuel Capital Budget (Pounds U3OB Equivalent)	Percentage of 2017 Fuel Lapital Budget (Thousands USD)	Estimated Percentage of 2008 Fuel Capital Budget (Pounds USOB Equivalent	f Estimated Per t 2018 Fuel Cap (Thousand
				Pricing Mechanism	Contract Completed	Years Remaining on Contract (If Applicable)	Date of Contract Renegotiation (F Applicable)	Reason for Contract Renegotiation () of Applicable()	Open Origin	Country of USOS Origin	Price Per Unit (USD)	Quantity Delivered Per Calendar Year	Value of Quantity Delivered (Thousands USE	Percentage of 2014 Fu Capital Budget (Pounc U308 Equivalent)	Percentage of 2004 Fundamentage of 2004 Fundamentage (Thousandous USD)	Percentage of 2015 Fuel Capital Budget (Pounds U208 Equivalent)	Percentage of 2015 Fuel Capital Budget (Thousand USO)			Percentage of 2027 Fuel Capital Budget (Founds U208 Equivalent)	Percentage of 2017 Fuel apital Budget (Thousands USD)	Estimated Percentage of 2008 Fuel Capital Budget (Pounds USOB Equivalent	f Estimated Pen t 2018 Fuel Capi t) (Thousand
				Pricing Mechanism	Contract Completed	Years Renaining on Contract (# Applicable)	Date of Contract Renegotiation (If Applicable)	Reason for Contract Renegotiation () I Applicable()	Open Origin	Country of USOS Origin	Price Per Unit (USZI)	Quantity Delivered Per Callendar Year	Value of Quantity Delivered (Thousands USE	Percentage of 2014 Fu Capital Budget (Pounc U308 Equivalent)	July Percentage of 2004 Fuel of Capital Budget (Thousand USCO)	Pencentage of 2015 Fuel Capital Budget (Pounds U308 Equivalent)	Percentage of 2015 Fuel Capital Budget (Thousand USD)			Percentage of 2017 Fuel Capital Budget (Pounds U3OB Equivalent)	Percentage of 2017 Fuel Lapital Budget (Thousands USO)	Estimated Percentage of 2008 Fuel Capital Budgeter (Pounds USOB Equivalent	f Estimated Per t 2018 Fuel Cap t) (Thousand
				Pricing Mechanism	Contract Completed	Team Sensiting on Contract (F Applicable)	Out of Contract Renegation (# Applicable)	Reason for Contract Renogalization (P Applicable)	Open Origin	Country of USOS Origin	Price Per Link (USS)	Quantity Delivered Per Calendar Year	Value of Quantity Delivered (Thousands USE	Percentage of 2014 Fu Capital Budget (Pounc U308 Equivalent)	Percentage of 2004 Fuel ds Capital Budger (Thousand USD)	Percentage of 2015 Fuel Capital Budget (Pounds U308 Equivalent)	Percentage of 2015 Fuel Capital Budget (Neuwand USD)			Percentage of 2027 Fuel Capital Budget (Pounds U2OB Equivalent)	Percentage of 2017 Fuel aptit Budger (Thousands USD)	Estimated Percentage of 2008 Fuel Capital Budgetel (Pounds USOB Equivalent	f Estimated Per 2018 Fuel Cap (Thousand
				Pricing Mechanism	Contract Completed	Years Remaining on Contract (if Applicable)	Date of Contract Renegotistion (F Applicable)	Research for Contract Revegatiation () P Applicable()	Open Origin	Country of UROS Origin	Price Per Link (USE)	Quantity Delivered Per Calendar Year	Value of Quantity Delivered [Thousands USE)) Percentage of 2014 Fu Capital Sudget (Pount U208 Equivalent)	ael Percentage of 2004 Fuel de Capital Budget (Thousand USCO)	Percentage of 2015 Fuel Capital Budget (Pounds USOB Equivalent)	Percentage of 2015 Fuel Capital Rudget (Thousand USO)			Percentage of 2017 Fuel Capital Sudget (Pounds U208 Equivalent)	Percentage of 2017 Fael Percentage of 2017 Fael USO)	Estimated Percentage of 2008 Fuel Capital Budgetes (Procedular Budgetes) Proceeds UZOB Equivalent	f Estimated Per to 2018 Fuel Capacity (Thousand
				Pricing Mechanisms	Contract Completed	Years Remaining on Contract (if Applicable)	Date of Contract Benegiciation (F Applicable)	Reson for Cortract Revogalation () P Applicable)	Open Origin	Country of USOS Origin	Price Per Unit (USS)	Quantity Delivered Per Calendar Year	Value of Quantity Delivered (Thousands USE)) Percentage of 2004 Fun Capital Budget (Pount UZGI Equivalent)	ual Percentage of 2004 Fundament Capital Rudger (Thousand USED)	Percentage of 2015 Fuel Capital Budget (Pounds U208 Equivalent)	Percentage of 2015 Fuel Capital Budget (Thousand USD)			Persontage of 2017 Fuel Capital Supplementage of 2017 Fuel Capital Subget (Pennis). USDS Equivalent()	Percentage of 2017 Facil percentage of 2017 Facil apital Budget (Thousa and USO)	Estimated Percentage of 2006 Fuel Capital Budgeted (Pounds U208 Equivalent U20	f Estimated Pen t 2018 Fuel Capi t) (Thousand
				Pricing Mesharism	Contract Completed	Vern femalising on Contract (If Applicable)	Date of Contract Benegotiation (# Applicable)	Research for Contract Recognitation (IF Applicable)	Open Origin	Country of VICE Origin	Price Per Unit (USD)	Quantity Delivered Per Calendar Year	Value of Quantity Delivered (Thousands USE	2) Percentage of 2016 Fu Capital Budget (Pound U308 Equivalent)	Percentage of 2004 Fuel Copins Rudget (Procume Office)	Parcentage of 2015 Fuel Capital Budget (Pounds UZOB Equivalent)	Percentage of 2015 Fuel Capital Budget (Thousand USD)			Persontage of 2017 Fuel Capital Supplementage of 2017 Fuel Capital Sudget (Pennis U306 Equivalent)	Percentage of 2017 Fuel Percentage of 2017 Fuel Supplied Rudget (Thousands USD)	Estimated Preventings of 2008 Fast Capital Budge (Pounds UZOB Equivalent	f Estimated Percent 2018 Feet Capital (Thousands 4)
				Pricing Mediation	Contract Completed	Venn Remaining on Contract (If Applicable)	Date of Contract Benegotiation (# Applicable)	Reason for Craters I Renogalization (#	Орин Опура	Country of USGE Origin	Price Per Livit (SEE)	Quantity Delivered five Calendar Year	Value of Quantity Delivered (Thousands USE	2) Percentage of 2016 Full Capital Budget (Pounc USOR Equivalent)	Percentage of 2004 Fuel Copins Rudger (Thousand Odds)	Pencentage of 2015 Fuel Capital Rodge (Phunds USDE Equivalent)	Percentage of 2015 Fuel Capital Budget (Thousand USD)			Percentage of 2017 Fuel Capital Budget (Percent U200 Equivalent)	Percentage of 2017 Facility System (Control of 2017 Facility Syste	Estimated Proceedings of D268 Fast Capital Budger 2008 Fast Capital Budger (Pounds U208 Equivalent	f Estimased Perc Color (Thousand

											Finished Fuel Assemblies (PWR,	BWR, or Other) (Finished Units)												
If your organization does not an Applicable" in the space provide	nticipate any deliveries o ed.	f this product in the 20	119-2023 period, please	choose "Not																				
								Date of Contract											Deliveries as Percents	ages of Fuel Capital Rudget				
Seller Name	Country of Seller Headquarters	Number of Deliveries Per Year	Date of Contract Signature	Type of Contract	Pricing Mechanism	Contract Completed	Years Remaining on Contract (If Applicable)	Renegotiation (if Applicable)	Reason for Contract Renegotiation ()f Applicable)	Open Origin	Country of USOS Origin	Price Per Unit (USD)	Quantity Delivered Per Calendar Year	Value of Quantity Delivered (Thousands USD)	Percentage of 2014 Fuel Capital Budget (Pounds U308 Equivalent)	Percentage of 2004 Fuel Capital Budget (Thousand USD)	Percentage of 2015 Fuel Capital Budget (Pounds U308 Equivalent)	Percentage of 2015 Fuel Capital Budget (Thousands USD)	Percentage of 2016 Fue Capital Budget (Pounds USOS Equivalent)	Percentage of 2016 Fuel Capital Budget (Thousands USD)	Percentage of 2017 Fuel Capital Budget (Founds U3O8 Equivalent)	Percentage of 2017 Fuel Capital Budget (Thousand USD)	Estimated Percentage of ds 2008 Fuel Capital Budget (Pounds USOB Equivalent)	2018 Fuel Capital Budget
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4																								
6																								
8																				-			+	
9																								
11	_																						+	
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14	1	—				1							1										t	
15																								
17																								
19																							+	
20																								
	Comment					•		•					•											

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				11: Projected	Enrichment Shipments and	Uranium Requirements, 20	019-2028			
Prov	ride the following information	n about projected shipment	ts to enrichment services pro	oviders and unfilled uranium	requirements for 2019 to 20	028.				
				Projected Sh	ipments to Enrichment Serv	vices Providers (Pounds U30	8 Equivalent)			
Α	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028
				U	nfilled Uranium Requireme	nts (Pounds U308 Equivalen	t)			
В	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028
С	Has your organization chang previously filled requiremen		uranium requirements? (e.g t now only fills three years ir							
		Comments:								
		<u> </u>		BUSINESS CO	NFIDENTIAL - Per Section 70	05(d) of the Defense Produc	tion Act		<u> </u>	<u> </u>

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12: Logistics

Provide the following information about transportation of uranium products purchased by your organization, regardless of whether transport arrangements were made by your organization or the uranium processor. Note that initial port of export is defined as the first port through which a uranium product leaves a non-U.S. country after your organization has acquired title to the product.

		country after your organization has acquired title to t	Uranium Products	
	То	op Ten Initial Ports of Export (Ranked by Volume of Pounds U3O8 equivalent)	Carriers Serving Port	Percentage of Total Imports of Uranium Products
	1			
	2			
A.	3			
A.	4			
	5			
	6			
	7			
	8			
	9			
	10			
В.	1	If any of the ports listed above were to be closed, what alternative ports would be used to transport your uranium products.		
Б.	2	Describe difficulties your organization has encountered, if any, in complying with the Foreign Corrupt Practices Act as pertains to the transportation and export of uranium products.		
		Comments:		
		BUSINESS CONFIDEN	ITIAL - Per Section 705(d) of the	Defense Production Act

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Rec	ord the total number of full tim	e equivalent (FTE) employee	es and contractors involv	ved in your organization's nuc	ear power generation and nucle	ar power generation-related r	139 Employment		r the 2014 to 2018 period.			
		2009	2014	2015	2016	2017	20					
Α	FTE Employees						-					
	FTE Contractors											
Rec	ord the total number of employ	ees for each occupation type	e below for 2009, and 2	014 to 2018. Yearly totals sho	uld reflect the number of emplo	yees at the end of a given cale	endar year. Include projected d	ata for 2019 numbers (estimat	es accepted).			
	Occup	ation	2009	2014	2015	2016	2017	2018	2019 (Projected)			
	Engineering (Civil, Electrical, N Other)	Mechanical, Chemical,										
	Engineering (Nuclear)											
	Nuclear-Related Administration Planning, Nuclear Oversight)	ve Professions (Nuclear										
В	Non-Nuclear Administrative P Financial, Lawyers)	rofessions (Accountants,										
	Nuclear Technicians & Radiati Protection Specialists, Reacto Personnel)	on Workers (Radiation r Operators, Control Room										
	Security Personnel (Guards, E											
	Skilled Trades (Carpenters, M metal workers, Welders)											
Dro	Other	(specify here)	or workforce'	stional requires	s, and changes in employment.							
rov	ide the following information a	about employment difficultie	es, worktorce age, educa	stional requirements, vacancii	is, and changes in employment.							
		Occupation		Difficulty	Explanation for Difficulty, if Applicable	Current Average Age of Worker (2018)	Educational Requirements (Formal and On-The-Job)	On-The-Job Training Requirements (OJT)	Current Number of Vacancies (2019)	Average Weeks Vacant	Explanation	
	Engineering (Civil, Electrical, N	Mechanical, Chemical, Other))									
	Engineering (Nuclear)											
	Nuclear-Related Administration	ve Professions (Nuclear Plann	ning, Nuclear Oversight)									
С	Non-Nuclear Administrative P	rofessions (Accountants, Fina	ancial, Lawyers)									
	Nuclear Technicians & Radiati Reactor Operators, Control Ro		ection Specialists,									
	Non-Nuclear Technicans (Hea	vy Equipment Operators, Me	echanics, Electricians)									
	Security Personnel (Guards, E											
	Skilled Trades (Carpenters, M	asons, Pipefitters, Sheet Met	al Workers, Welders)									
	Other	(specify h	,									
D	Does the industry experience	any amount of workforce cro	oss-over between comm	nercial power generation and	U.S. Government nuclear activit	ies? Explain.						
	Are the skills associated with	the workforce in your organi:	zation transferable to of	ther industries? Explain.								
E												
	Does the geographic location	of your organization's faciliti	es play any role in the c	hallenges in hiring, retaining,	and rehiring employees? Explain	ı						
F												
	If one of your facilities were in	fled, how long do you reason	nably anticinate being ab	ale to rehire workers laid off o	ue to the idling?							
	in one or your racinges were in	aca, now long do you reason	idory underpute being de	sic to retine workers laid on e	ac to the laming.							
G												
	If your organization utilizes or	provides consulting services	s that assist in optimizing	g core business processes rela	ting to your organization's role i	n the nuclear fuel cycle, descr	ribe the types of firms you work	with, and the substance of th	e consulting work.			
Н												
	Commen	ts:										
						BUSINESS CONF	FIDENTIAL - Per Section 705(d)	of the Defense Production Ac	<u> </u>			

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	14a: Market Trends From 2009 to 2018, indicate whether uranium import competition has affected your U.S. power generation, operating costs, or nuclear power-related planned expansions, investments, etc. Explain your answers.							
	Item		Yes/No	Explain				
	1	Power Ger	eration					
	2	Operating						
A.	3	Employme						
	4	Planned Ex						
	5		Investment					
	6	Investmen						
	7		laise Capital					
	8		evelopment/ Production Efforts					
	9		pital Investments					
	10	Other	(specify here)					
	Does your organization anticipate any effects on its business due to future imports of any type of uranium or nuclear fuel assemblies into the United States, including those from countries with state-owned enterprises such as Russia, Kazakhstan, Uzbekistan, and China? Indicate Yes/No to the right and explain below.							
		Russia						
	2	Kazakhstai						
В.	3	Uzbekistar						
	5	China						
	6	France Canada						
	7	Australia						
	8	Other	(specify here)					
	9	Other	(specify here)					
	10	Other	(specify here)					
	_			ctions of the fuel cycle, wo	ould your organization's nuclear fuel acquisition be impacted? Explain your answ	wers for each		
		of the fuel		,,	,			
			Stage of Fuel Cycle	Yes/No	Explain			
	1	Uranium N		103/110	Explain			
C.	2							
	2 Uranium Milling 3 Uranium Conversion							
	4	Uranium E						
	5	Fuel Fabric						
	S rule radiitation Describe the top 5 most significant challenges to the competitive position of your organization's U.S. nuclear operations in the U.S. energy market.							
	1	ibe the top	5 most signmeant chancinges to the competitive	position or your organization	on a distribution of the d			
	2							
	3							
	4							
	5							
D.		riha tha tan	5 most significant challenges to the competitive	nocition of your organization	on's non-U.S. nuclear operations in the non-U.S. energy market.			
	1	ibe the top	5 most significant chancinges to the competitive	position or your organization	on a non-ola. nuclear operations in the non-ola. Chergy market.			
	2							
	3							
	4							
	5	-						
	5							
		Are there proposed regulatory or legislative initiatives at the federal or state level that would improve the financial viability of your organization's nuclear power generation facilities? Explain below.						
E.								
			Comments:					
			BUSINESS C	ONFIDENTIAL - Per Section	n 705(d) of the Defense Production Act			

Pro	evio	us Page	14b: Competitiv	Next P	age
Pro	ovid	e the following information, then explain your answers.	14b. Competitiv	e Environment	
-		Prices and Practices	-Yes/No-	Explain	
	1	Has your organization engaged in any cost-cutting measures in order to better compete in the power generation market?			
Α	2	Has your organization made significant operational or strategic changes in order to better compete in the power generation market?			
	3	Does your organization incorporate security of supply considerations in its assessment of reliability and risk as pertains to selection of uranium suppliers?			
		Operational Practices	-Yes/No-	Explain	
	1	Do your organization's nuclear power facilities provide baseload generation capacity?			
	2	Have any of your facilities had to reduce electrical output due to market conditions?			
В	3	Is your organization considering a change in any of your facilities' operating flexibility to respond to market conditions?			
	4	In the event of a disruption in fuel delivery to any of your facilities, would your organization need to decrease output at that facility?			
	5	In the event of a disruption in fuel delivery to any of your facilities, how long could your facility continue to generate electricity at full capacity?			
		Regulatory Factors and Competition	-Yes/No-	Explain	
	1	Has your organization experienced difficulties selling energy due to competition from solar and wind producers, particularly those who sell energy at zero or negative cost?			
	2	Have state Renewable Portfolio Standards had an impact on your facilities' viability?			
	3	Have federal or state regulatory frameworks affected your organization's ability to economically operate your power generation facilities?			
С	4	Does your organization perceive that current energy pricing does not reflect the value of nuclear energy?			
	5	Has the increasing presence of natural gas-fueled power generators affected your organziation's competitiveness?			

	6	Does your organization receive any state or federal subsidies for facilities involved in the generation of nuclear power?				
	7	Has the U.S. Department of Energy's program of selling off natural uranium and LEU stocks affected your organization?				
	8	Does your organization perceive that NRC-mandated regulatory upgrades since 1999 were warranted?				
		International Operations and Factors	-Yes/No-	Explain		
		Excluding direct government subsidies, do you believe that non-U.S. uranium producers operate at lower costs than U.S. producers? Explain.				
	2	Does your organization consider environmental, health, safety, and labor standards in foreign countries when considering business with non-U.S. suppliers?				
	3	Does your organization purchase uranium products from Russia, China, Kazakhstan, and Uzbekistan? If so, describe what factors your organization considers in its risk analysis reports for these countries.				
	4	Will China's increasing presence in the nuclear fuel sector affect your organization?				
D	5	Have you been approached by representatives of Chinese nuclear fuel suppliers about future sales?				
	6	Has the 2011 Fukushima disaster impacted your organization's nuclear power operations?				
	7	Have foreign suppliers offered to offset any potential costs imposed by a potential remedy under Section 232?				
	8	Do uranium producers operating in non-U.S. market economies (e.g. Canada, Australia) have competitive advantages (e.g. geology, business practices, logistics chain) over U.S. producers?				
	9	Do regulatory or legislative frameworks give operators in foreign market economies (e.g. Canada, Australia) advantages over U.S. producers?				
	10	Has your organization dealt with any supply disruptions from foreign sources in the past five years? Explain.				
		Russian Presence on the Global Uranium Market	-Yes/No-	Explain		
	1	Have restrictions on imports of Russian uranium affected your organization?				
E	2	Would your organization's posture be affected by an increased Russian presence in the U.S. market?				
	3	Would your organization plan to purchase more Russian-origin uranium if the suspension agreement ends in 2020?				
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	15: Certification					
The undersigned certifies that the information h	erein supplied in response to this questionnaire is complete and correct to the best of his/her					
knowledge. It is a criminal offense to willfully ma	ake a false statement or representation to any department or agency of the United States Government					
as to any matter within its jurisdiction (18 U.S.C. 1001 (1984 & SUPP. 1197)).						
Once your organization has completed this surve	ey, save a copy and submit it via email to Uranium232@bis.doc.gov. Be sure to retain your survey for					
your records and to facilitate any necessary edits	s or clarifications.					
BIS Survey Website	https://www.bis.doc.gov/nuclearoperator					
Organization Name						
Organization's Internet Address						
Name of Authorizing Official						
Title of Authorizing Official						
E-mail Address						
Phone Number and Extension						
Date Certified						
In the box below, provide any additional comme	nts or any other information you wish to include regarding this survey assessment.					
How many hours did it take to complete this sur	vey?					
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