



Roundtable Discussions

Friday, October 2, 2009

- Session 1- 9:00 to 10:00 · Session 2- 10:30-11:30
- Session 3- 1:00-2:00 · Session 4- 2:30 - 3:30

Instructions for Participants: Five minutes prior to the session, find the table for your selected topic. Every table has a color coded numbered sign. If the table is full, select another topic. You have one hour for the discussion. After the session time is up, you may select another table for the next session. You have the opportunity to participate in all four different sessions for four different topic discussions.

Export Enforcement

1	Enforcement Chat: San Jose/LA Offices	Julie Salcido & Tony Levey
The facilitators will address questions related to export enforcement, including voluntary self-disclosures, audits, practicing due diligence, etc. This is specific to the areas within and surrounding the Export Enforcement Offices in San Jose and Los Angeles, CA offices.		
2	Enforcement Chat: Chicago/Dallas Offices	Ron Orzel George Richardson
The facilitators will address questions related to export enforcement, including voluntary self-disclosures, audits, practicing due diligence, etc. This is specific to the areas within and surrounding the Export Enforcement Offices in Chicago, IL and Dallas, TX offices.		
3	Enforcement Chat: Boston/NY Offices	John McKenna & Sid Simon
The facilitators will address questions related to export enforcement, including voluntary self-disclosures, audits, practicing due diligence, etc. This is specific to the areas within and surrounding the Export Enforcement Offices in Boston, MS and New York, NY offices.		
4	Enforcement Chat: DC/Miami Offices	Mike Johnson & Rick Shimon
The facilitators will address questions related to export enforcement, including voluntary self-disclosures, audits, practicing due diligence, etc. This is specific to the areas within and surrounding the Export Enforcement Offices in Washington, DC and Miami, FL offices.		
5	Antiboycott	Ned Weant
Under the Export Administration Regulations (EAR), a US person may not refuse to do business with or in a boycotted country when such refusal is pursuant to an agreement with the boycotted country. Under the topic "Antiboycott", the facilitator will address questions regarding restrictive trade practices or boycotts, including the prohibitions, exceptions to the prohibitions, and the possible reporting requirements.		

Enforcement Analysis

6	End-Use Checks	Todd Willis Gonca Cardak Antoinette Dyson
BIS staff around the world conduct End-Use Checks to determine the legitimacy of information provided, satisfy questions related to licensing determinations, and strengthen assurances that all parties comply with an export license and licensing conditions to deter diversions.. The facilitators will address questions related to End-Use checks.		
7	ECO: Beijing	Jeannette Chu
The Bureau of Industry and Security, Office of Export Enforcement, has personnel all over the world. Meet the Export Control Officer for Beijing, China.		
8	ECO: Abu Dhabi	Nasir Khan
The Bureau of Industry and Security, Office of Export Enforcement, has personnel all over the world. Meet the Export Control Officer for Abu Dhabi, United Arab Emirates.		
9	ECO: Hong Kong	Philip Ankel
The Bureau of Industry and Security, Office of Export Enforcement, has personnel all over the world. Meet the Export Control Officer for Hong Kong, China.		

Compliance			
	10	Export Management Compliance Program - Best Practices <i>Opening at 1:00 PM after morning workshops</i>	Tom Andrukonis Orestes Theocharides
Interested in learning about smart export compliance business strategies? Looking for effective solutions to address an export compliance issue? Need guidance on developing or enhancing your export management and compliance program? The facilitators will lead the discussion on the nine recommended elements of an effective export compliance program.			
	11	Office of Foreign Assets Control: Compliance	Mike Boriboon Rachel Nagle Jessica Brinkman
The Office of Foreign Assets Control, within the Department of Treasury, implements broad controls and enforces economic and trade sanctions against certain foreign countries. Under the topic "Office of Foreign Assets Control: Compliance", the facilitator will address questions on OFAC's compliance policy.			
	12	Directorate of Defense Trade Controls	Angela Countee-Brown Ruth Jackson
The Directorate of Defense Controls, within the Department of State, administers the International Traffic in Arms Regulations (ITAR) for defense articles and defense services on the US Munitions List. Under the topic "Directorate of Defense Trade Controls", the facilitator will address questions on the International Traffic in Arms Regulations.			
	13	BIS - Census Automated Export System Compliance Programs	Gerry Horner (BIS) Wendy Peebles (Census)
The facilitators will discuss the recent improvements made to the Automated Export System (AES) to assist exporters in staying compliant with the EAR and FTR when reporting in AES. They will also lead discussions on the types of problems seen with AES reporting and what companies can do to prevent them from occurring in the future.			
	14	Census Bureau - Foreign Trade Regulations	Joe Cortez
The Census Bureau, under the Foreign Trade Regulations, is in charge of collecting, tabulating, and publishing foreign trade data. In the furtherance of these objectives, the Census Bureau requires of exporters the filing of certain information using the Automated Export System. Under the topic "Foreign Trade Regulations", the facilitator will address questions on the new regulatory changes instituted by the Census Bureau.			
Commerce Control List Categories and Products			
	15	Telecommunication & Computers (Categories 4 & 5 Part 1)	Joe Young
Under the topic "Telecommunication & Computers", the facilitator will address questions on these specific items and its related controls.			
	16	ENCRYPTION: Advanced Discussion <i>9:00 AM Session ONLY</i>	Judith Currie Silvia Jimmison
Currently, BIS has thirteen reasons for controlling items, including for encryption. The topic "Encryption: Advanced Discussion" is for people with at least a basic understanding of the encryption regulations who have questions about and would benefit from a discussion of in-depth topics in the encryption regulations.			
	17	ENCRYPTION: Basics Discussion <i>9:00 AM Session ONLY</i>	Mike Pender Anita Zinzuvadia
Currently, BIS has thirteen reasons for controlling items, including for encryption. The topic "Encryption" Basic Discussion" is for people with little to no experience with the encryption regulations who have questions about and would benefit from a discussion of the basic provisions in the encryption regulations.			
	18	Biological Exports (Category 1)	Betty Lee
Under the topic "Biological Exports", the facilitator will address questions on these specific items and its related controls.			
	19	Aircraft & Avionics (Categories 7 & 9)	Gene Christiansen
Under the topic "Aircraft", the facilitator will address questions on these specific items and its related controls.			
	20	Machine Tools/General Industrial Equipment (Category 2)	George Loh
Under the topic "Machine Tools", the facilitator will address questions on these specific items and its related controls.			
	21	Sensors (Category 6)	Chris Costanzo

Under the topic "Sensors", the facilitator will address questions on these specific items and its related controls.		
22	Electronics (Category 3)	Brian Baker
Under the topic "Electronics", the facilitator will address questions on these specific items and its related controls.		
23	Crime Control	Steven Clagett
Currently, the BIS has thirteen reasons for controlling items, including for crime and detection. Under the topic "Crime Control", the facilitator will address questions on these types of items.		
Authorizations and License Streamlining		
24	License Exceptions	Sharron Cook
License Exceptions allow exporters to export or re-export under stated conditions, items subject to the EAR that would otherwise require a license. The facilitator will address questions on how to apply these license exceptions to export transactions.		
25	Special Comprehensive Licenses	Rejineta Carroll Charlotte Holden
Do you apply for multiple licenses to export to your foreign distributor or subsidiaries? If so, you should evaluate whether or not a special comprehensive license would be a better fit for you. Under the topic "Special Comprehensive Licenses", the facilitator will address questions regarding eligibility and the steps to follow to apply.		
26	Authorization Validated End-User <i>9:00 & 10:30 AM sessions only</i>	Beth Scott
On June 19, 2007, the BIS created the Validated End User Program (VEU). Under this program, certain "trusted customers" with a track record of responsible <i>civilian</i> use of US-controlled technology will be able to receive items without individual export licenses. The facilitator will provide an update on the expansion of VEU in 2009 and answer questions regarding the EAR as it pertains to authorization VEU.		
27	Commerce Control List Review	Tim Mooney
On July 17, 2007, BIS solicited comments from the public regarding the Commerce Control List (CCL) in the Export Administration Regulations (EAR). Under the topic "Commerce Control List Review" the facilitator will address questions on the process undertaken by BIS in reviewing the comments and taking action on any of the recommendations.		
Industry Competitiveness		
28	Measuring the Effectiveness of Export Controls on Industry Competitiveness	Chris Nelson Ashley Miller
The Office of Technology Evaluation was created in August 2006 to, among other tasks, measure the effectiveness of export controls on National Security and Competitiveness. OTE does this by performing studies, called technology or foreign availability assessments. Over the past three years, OTE has completed studies on aromatic polyimide (1C008), thermal imaging cameras (6A003) and Machine Tools (2B001). The facilitator will describe OTE's efforts in identifying items to be assessed and answer questions regarding foreign availability.		
29	Small and Medium Enterprise (SaME) Challenges	Sheila Quartermann
The call for modernization of export controls offers BIS an opportunity to closely evaluate how the EAR can better facilitate the accountability and competitiveness of enterprises engaged in export trade. This call also gives BIS an even greater opportunity to consider issues unique to small and medium enterprises in that evaluation. The facilitator will lead a discussion that will help explore Small and Medium Enterprise (SaME) Challenges under the EAR and generally under export controls.		

Additional Topics

30	Freight Forwarding	Peter Klason
<p>The EAR place legal responsibility on all persons who have information, authority or functions related to carrying out export transactions subject to its jurisdiction. Forwarding agents may have compliance responsibilities under the EAR even when their actions are dependent upon information or instructions give by those who use their services. Under the topic "Freight Forwarding", the facilitator will address questions on these potential responsibilities and duties forwarders may have.</p>		
31	Outreach to Industry: BIS and Exporter Exchange	Mike Hoffman
<p>The Office of Exporter Services' (OExS) promotes knowledgeable voluntary compliance with dual use export controls by educating the exporting community about its obligations under the EAR. OExS conducts export control seminars across the United States and in other countries, as well as online training programs and webinars on U.S. export controls. This session offers an opportunity for you to provide your input to help BIS shape the future of outreach to industry.</p>		
32	<p style="text-align: center;">Operating Committee <i>1:00 & 2:30 PM sessions only</i></p>	Beth Scott
<p>The Operating Committee is an interagency dispute resolution body where license applications are escalated when the reviewing agencies do not agree on the final disposition of a license application. Under the topic "Operating Committee", the facilitator will address questions on the escalation procedures and resolution processes.</p>		
33	Sanctioned/Embargoed Countries	Tony Christino
<p>By virtue of section 6 of the Export Administration Act of 1979 and the Trading with the Enemy Act of 1917, the US can establish sanctions and embargoes against countries that threat US National Security. Under a sanction or embargo, a license is required for exports and reexports of all items subject to the EAR. Under the topic "Sanctioned/Embargoed Countries", the facilitator will address questions on current policies regarding the current comprehensive embargoes and sanctioned destinations.</p>		
34	CFIUS/Defense Industrial Base Programs	SIES Staff Members
<p>The Office of Strategic Industries and Economic Security (SIES) administers various programs involving defense industrial base and investment issues. These include the Committee on Foreign Investment in the United States (CFIUS), the Defense Priorities and Allocations System (DPAS), defense trade advocacy, offsets in defense trade, and the NATO International Competitive Bidding process. The facilitators will include various SIES staff that will address questions on any of these topics.</p>		
35	Re-Exports and License Exception APR	Bill Arvin
<p>The facilitator will discuss the requirements of the EAR as they pertain to re-exports and the use of license exception APR, which is eligible for re-export purposes only.</p>		